

**Format of holding of specified securities**

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)
2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2022
  - b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities as on 31/12/2022

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135
(B)	Public	6590	48453965	0	0	48453965	28.5283	48453965	0	48453965	28.5283	0	28.5283	0	0.0000	NA		48453965
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	6592	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2022

	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	Nos. of shareholders (II)	Nos. of fully paid up equity shares held (III)	Partly paid-up equity shares held (IV)	Nos. of shares underlying Depository Receipts (V)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
										Class x	Class y	Total								
(1)	Indian																			
(a)	Individuals/Hindu undivided			1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C	1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate			1	10482075	0	0	10482075	6.1715	10482075	0	10482075	6.1715	0	6.1715	8451840	80.6314	0	0.0000	10482075
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G	1	10482075	0	0	10482075	6.1715	10482075	0	10482075	6.1715	0	6.1715	8451840	80.6314	0	0.0000	10482075
	Sub Total			2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0
	Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135



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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2022

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share (XII)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV) shareholding (No of shares) under		
								Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
Sub Total		5	11894000	0	0	11894000	7.0029	11894000	0	11894000	7.0029	0	0.0000	0	0.0000	NA		11894000	0	0	0
(3) Central Government / State Government(s)																					
(a) Central Government / President of India																					
(b) State Government / Governor																					
(c) Shareholding by Companies or Bodies Corporate where Central/State Governemnt is a promoter																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0
(4) Non-Institutions																					
(a) Associate Companies / Subsidiaries																					
(b) Directors and their relatives (excluding independent directors and nominee directors)																					
(c) Key Managerial Personnel																					
(d) Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)																					
(e) Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																					
(f) Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.1033	0	0.0000	NA		175500	0	0	0
(g) Resident Individuals holding nominal share capital upto Rs.2 lakhs		6413	2483182	0	0	2483182	1.4620	2483182	0	2483182	1.4620	0	1.4620	0	0.0000	NA		2483182	0	0	0
(h) Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		7	8682971	0	0	8682971	5.1123	8682971	0	8682971	5.1123	0	5.1123	0	0.0000	NA		8682971	0	0	0

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2022

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)		
								Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA		2700000	0	0	0
NAMITA KETAN KOTHARI	AACTK3210A	1	1970720	0	0	1970720	1.1603	1970720	0	1970720	1.1603	0	1.1603	0	0.0000	NA		1970720	0	0	0
(i) Non Resident Indians (NRIs)		40	22932	0	0	22932	0.0135	22932	0	22932	0.0135	0	0.0135	0	0.0000	NA		22932	0	0	0
(j) Foreign National																					
(k) Foreign Company / OCB																					
(l) Bodies Corporate		43	24714185	0	0	24714185	14.5510	24714185	0	24714185	14.5510	0	14.5510	0	0.0000	NA		24714185	0	0	0
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA		9799000	0	0	0
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	7461440	0	0	7461440	4.3931	7461440	0	7461440	4.3931	0	4.3931	0	0.0000	NA		7461440	0	0	0
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	5768790	0	0	5768790	3.3965	5768790	0	5768790	3.3965	0	3.3965	0	0.0000	NA		5768790	0	0	0
(m) Any Other (specify)																					
Resident Individual (HUF)		63	138502	0	0	138502	0.0815	138502	0	138502	0.0815	0	0.0815	0	0.0000	NA		138502	0	0	0
Clearing Member		18	342693	0	0	342693	0.2018	342693	0	342693	0.2018	0	0.2018	0	0.0000	NA		342693	0	0	0
Sub Total		6585	36559965	0	0	36559965	21.5255	36559965	0	36559965	21.5255	0	21.5255	0	0.0000	NA		36559965	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6590	48453965	0	0	48453965	28.5283	48453965	0	48453965	28.5283	0	28.5283	0	0.0000	NA		48453965	0	0	0
Details of the shareholders acting as persons in Concert																					
Sl No	Name	PAN	Shares																		
	NIL																				

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2022																				
	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Custodian / DR Holder																			
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																			
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 31/12/2022												
Details of the (SBO)			Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)			(II)				Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	110909060	65.3001	65.3001	YES	YES	30-09-2019
	Total						110909060	65.3001				



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Table VI - Statement showing foreign ownership limits as on 31/12/2022

	Board approved limits	Limits utilized
As on shareholding date	100.0000	7.0164
As on 30/09/2022	100.0000	6.7499
As on 30/06/2022	100.0000	6.7439
As on 31/03/2022	100.0000	6.7458
As on 31/12/2021	100.0000	6.7440