

Annexure - I

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)
2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2022
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		
7	Whether the Listed Entity has any significant beneficial owner?		

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD
Table I - Summary Statement holding of specified securities as on 30/09/2022

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter group	2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135
(B)	Public	6285	48453965	0	0	48453965	28.5283	48453965	0	48453965	28.5283	0	28.5283	0	0.0000	NA		48453965
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	6287	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2022

	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
											Class	x	y			Total	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
(1)	Indian																				
(a)	Individuals/Hindu undivided				1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate				1	10482075	0	0	10482075	6.1715	10482075	0	10482075	6.1715	0	6.1715	8451840	80.6314	0	0.0000	10482075
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	10482075	0	0	10482075	6.1715	10482075	0	10482075	6.1715	0	6.1715	8451840	80.6314	0	0.0000	10482075
	Sub Total				2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2022

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
									Class x	Class y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (Not applicable) (b)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
(h)	Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		7	8819536	0	0	8819536	5.1927	8819536	0	8819536	5.1927	0	5.1927	0	0.0000	NA	8819536	0	0	0	
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA	2700000	0	0	0	
	NAMITA KETAN KOTHARI	AACTK3210A	1	2030720	0	0	2030720	1.1956	2030720	0	2030720	1.1956	0	1.1956	0	0.0000	NA	2030720	0	0	0	
(i)	Non Resident Indians (NRIs)		35	20441	0	0	20441	0.0120	20441	0	20441	0.0120	0	0.0120	0	0.0000	NA	20441	0	0	0	
(j)	Foreign National																					
(k)	Foreign Company / OCB																					
(l)	Bodies Corporate		36	25081935	0	0	25081935	14.7675	25081935	0	25081935	14.7675	0	14.7675	0	0.0000	NA	25081935	0	0	0	
	COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA	9799000	0	0	0	
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	7461440	0	0	7461440	4.3931	7461440	0	7461440	4.3931	0	4.3931	0	0.0000	NA	7461440	0	0	0	
	GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	6218790	0	0	6218790	3.6614	6218790	0	6218790	3.6614	0	3.6614	0	0.0000	NA	6218790	0	0	0	
(m)	Any Other (specify)																					
	Resident Individual (HUF)		57	138699	0	0	138699	0.0817	138699	0	138699	0.0817	0	0.0817	0	0.0000	NA	138699	0	0	0	
	Clearing Member		31	295817	0	0	295817	0.1742	295817	0	295817	0.1742	0	0.1742	0	0.0000	NA	295817	0	0	0	
	Sub Total		6281	37009965	0	0	37009965	21.7904	37009965	0	37009965	21.7904	0	21.7904	0	0.0000	NA	37009965	0	0	0	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6285	48453965	0	0	48453965	28.5283	48453965	0	48453965	28.5283	0	28.5283	0	0.0000	NA	48453965	0	0	0	

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2022

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of Underlying outstanding securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)	Nos. (Not applicable) (a)	As a % of total shares held (b)		
																				No. of Voting Rights
(1)	Custodian / DR Holder																			
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																			
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

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Annexure

Table V - Statement showing details of significant beneficial owners as on 30/09/2022

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
<u>Annexure</u>												
Table V - Statement showing details of significant beneficial owners as on 30/09/2022												
Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA S DANGI	AFNPD2805C	India	ALPANA S DANGI	AFNPD2805C	India	65.3	65.3000	65.3	Yes	Yes	30-09-2019

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date	100	6.75
As on the end of previous 1st Quarter	100	6.75
As on the end of previous 2nd Quarter	100	6.75
As on the end of previous 3rd Quarter	100	6.75
As on the end of previous 4th Quarter	100	6.75