

05/04/2022

To,

**Department of Corporate Relationship
BSE Ltd.**

Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001.
Scrip Code: 539177

The Calcutta Stock Exchange Limited

7, Lyons Range, Murgighata, Dalhousie,
Kolkata, West Bengal - 700 001.
Scrip Code: 011262

Dear Sir / Madam,

Sub: Submission of Share Holding Pattern for the Quarter ended 31/03/2022

In terms of Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on 31/03/2022 for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully

For **Authum Investment & Infrastructure Limited**



Amit Dangi
Whole Time Director & CFO
DIN: 06527044

Encl: As above.

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH16001)
2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2022
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/03/2022

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900
(B)	Public	6808	50484200	0	0	50484200	29.7237	50484200	0	50484200	29.7237	0	29.7237	0	0.0000	NA		50484200
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	6810	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2022

(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
											No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
											Class	x	Class y								Total
(1)	Indian																				
(a)	Individuals/Hindu undivided				1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
	ALPANA SANJAY DANGI Central Government/State Government(s)	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(b)	Financial Institutions/Banks														0	0.0000	0	0.0000	0	0.0000	0
(c)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
(d)	Bodies Corporate				1	8451840	0	0	8451840	4.9762	8451840	0	8451840	4.9762	0	4.9762	8451840	100.0000	0	0.0000	8451840
	MENTOR CAPITAL LIMITED Sub Total	Promoter	AACCP7995G		1	8451840	0	0	8451840	4.9762	8451840	0	8451840	4.9762	0	4.9762	8451840	100.0000	0	0.0000	8451840
					2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000	0
(a)	Government														0	0.0000	0	0.0000	0	0.0000	0
(b)	Institutions														0	0.0000	0	0.0000	0	0.0000	0
(c)	Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
(e)	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2022

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)		
																			Total as a % of Total Voting rights
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		4	11444000	0	0	11444000	6.7379	11444000	0	11444000	6.7379	0	6.7379	0	0.0000	NA		11444000
	NEXPACT LIMITED	AAFNC0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA		8009190
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		2592850
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		4	11444000	0	0	11444000	6.7379	11444000	0	11444000	6.7379	0	6.7379	0	0.0000	NA		11444000
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		6669	2539949	0	0	2539949	1.4955	2539949	0	2539949	1.4955	0	1.4955	0	0.0000	NA		2539949
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		7	9899530	0	0	9899530	5.8286	9899530	0	9899530	5.8286	0	5.8286	0	0.0000	NA		9899530
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA		2700000
	NAMITA KETAN KOTHARI	AACTK3210A	1	2605720	0	0	2605720	1.5342	2605720	0	2605720	1.5342	0	1.5342	0	0.0000	NA		2605720

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2022

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)		
																		Total as a % of Total Voting rights
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.1033	0	0.0000	NA		175500
Bodies Corporate		39	26295551	0	0	26295551	15.4821	26295551	0	26295551	15.4821	0	15.4821	0	0.0000	NA		26295551
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA		9799000
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	7461440	0	0	7461440	4.3931	7461440	0	7461440	4.3931	0	4.3931	0	0.0000	NA		7461440
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	6218790	0	0	6218790	3.6614	6218790	0	6218790	3.6614	0	3.6614	0	0.0000	NA		6218790
Resident Individual (HUF)		41	108377	0	0	108377	0.0638	108377	0	108377	0.0638	0	0.0638	0	0.0000	NA		108377
Clearing Member		16	7901	0	0	7901	0.0047	7901	0	7901	0.0047	0	0.0047	0	0.0000	NA		7901
Non Resident Individual		31	13392	0	0	13392	0.0079	13392	0	13392	0.0079	0	0.0079	0	0.0000	NA		13392
Sub Total		6804	39040200	0	0	39040200	22.9858	39040200	0	39040200	22.9858	0	22.9858	0	0.0000	NA		39040200
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6808	50484200	0	0	50484200	29.7237	50484200	0	50484200	29.7237	0	29.7237	0	0.0000	NA		50484200

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2022

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting					Total as a % of Total Voting rights	As a % of total shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 31/03/2022												
Details of the (SBO)			Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)			(II)				Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA S DANGI	AFNPD2805C	India	ALPANA S DANGI	AFNPD2805C	India	65.3	65.3000	65.3	Yes	Yes	30-09-2019