

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2020

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities as on 30/06/2020

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906
(B)	Public	645	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	647	11528090	0	0	11528090	100.0000	11528090	0	11528090	100.0000	6300000	100.0000	0				11528090

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2020

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class x	Class y									Total
(1)	Indian																		
(a)	Individuals/Hindu undivided		1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	8615906
	ALPANA S DANGI	AFNPD2805C	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	8615906
(b)	Central Government/State Government(s)												0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate		1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0
	MENTOR CAPITAL LTD	AACCP7995G	1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0
	Sub Total		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0
(b)	Government												0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions												0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2020

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		613	184220	0	0	184220	1.5980	184220	0	184220	1.5980	0	1.0333	0	0.0000	NA		184220
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		8	1074182	0	0	1074182	9.3180	1074182	0	1074182	9.3180	0	6.0252	0	0.0000	NA		1074182
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	2.3421	270000	0	270000	2.3421	0	1.5145	0	0.0000	NA		270000
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	2.2603	260572	0	260572	2.2603	0	1.4616	0	0.0000	NA		260572
	RAKESH S KATHOTIA HUF	AADHR5907E	1	155000	0	0	155000	1.3445	155000	0	155000	1.3445	0	0.8694	0	0.0000	NA		155000
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2020

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1522	17550	0	17550	0.1522	0	0.0984	0	0.0000	NA		17550
Bodies Corporate		14	1463878	0	0	1463878	12.6984	1463878	0	1463878	12.6984	0	8.2111	0	0.0000	NA		1463878
KHAZANA TRADELINKS PVT LTD	AABCK2127L	1	1085000	0	0	1085000	9.4118	1085000	0	1085000	9.4118	0	6.0859	0	0.0000	NA		1085000
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	163998	0	0	163998	1.4226	163998	0	163998	1.4226	0	0.9199	0	0.0000	NA		163998
Clearing Member		9	172354	0	0	172354	1.4951	172354	0	172354	1.4951	0	0.9668	0	0.0000	NA		172354
Sub Total		645	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		645	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184

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Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/06/2020

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/06/2020												
Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1				ALPANA S DANGI	AFNPD2805C	INDIAN	8615906	74.7384				
	Total						8615906	74.7384				