

07/01/2021

To,
The Secretary
The Calcutta Stock Exchange Limited
Listing Department
7, Lyons Range
Kolkata – 700 001
Scrip Code: 011262

Sub: Submission of Share Holding Pattern for the Quarter ended 31/12/2020

Dear Sir / Madam,

In terms of Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on 31/12/2020 for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully
For **Authum Investment & Infrastructure Limited**



Amit Dangi
Whole Time Director & CFO
DIN: 06527044

Encl: As above.

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2020

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/12/2020

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906
(B)	Public	597	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	599	11528090	0	0	11528090	100.0000	11528090	0	11528090	100.0000	6300000	100.0000	0				11528090

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2020

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)			
									Class x	Class y									Total	
(1)	Indian																			
(a)	Individuals/Hindu undivided			1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	8615906
	ALPANA SANJAY DANGI	AFNPD2805C	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	8615906	
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate			1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0
	MENTOR CAPITAL LTD	AACCP7995G	1	0	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0
	Sub Total		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906	
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0
	Sub Total		0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906	

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2020

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no of shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
	NEXPACT LIMITED	AAFCN0208F	1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		566	121568	0	0	121568	1.0545	121568	0	121568	1.0545	0	0.6819	0	0.0000	NA		121568
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		9	883361	0	0	883361	7.6627	883361	0	883361	7.6627	0	4.9549	0	0.0000	NA		883361
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	2.3421	270000	0	270000	2.3421	0	1.5145	0	0.0000	NA		270000
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	2.2603	260572	0	260572	2.2603	0	1.4616	0	0.0000	NA		260572

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2020

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no of shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total									
(b) NBFCs registered with RBI																			
(c) Employee Trusts																			
(d) Overseas Depositories (holding DRs) (balancing figure)																			
(e) Any Other (specify)																			
Investor Education and Protection Fund Authority			1	17550	0	0	17550	0.1522	17550	0	17550	0.1522	0	0.0984	0	0.0000	NA		17550
Bodies Corporate			11	1313067	0	0	1313067	11.3902	1313067	0	1313067	11.3902	0	7.3652	0	0.0000	NA		1313067
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L		1	621879	0	0	621879	5.3945	621879	0	621879	5.3945	0	3.4882	0	0.0000	NA		621879
JAMISH INVESTMENT PVT. LTD.	AAACJ8884A		1	347752	0	0	347752	3.0166	347752	0	347752	3.0166	0	1.9506	0	0.0000	NA		347752
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q		1	162488	0	0	162488	1.4095	162488	0	162488	1.4095	0	0.9114	0	0.0000	NA		162488
Clearing Member			8	718	0	0	718	0.0062	718	0	718	0.0062	0	0.0040	0	0.0000	NA		718
Non Resident Individual			1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA		1
Sub Total			596	2336265	0	0	2336265	20.2658	2336265	0	2336265	20.2658	0	13.1044	0	0.0000	NA		2336265
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)			597	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184

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Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2020

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Annexure

Table V - Statement showing details of significant beneficial owners as on 31/12/2020

Details of the (SBO)		Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest	
(I)		(II)				Whether by virtue of: (III)				(IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence
1				ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	8615906	74.7384			
	Total						8615906	74.7384			