

12/04/2021

To,

Department of Corporate Relationship BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400 001. Scrip Code: 539177	The Calcutta Stock Exchange Limited 7, Lyons Range, Murgighata, Dalhousie, Kolkata, West Bengal - 700 001. Scrip Code: 011262
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Dear Sir / Madam,

Sub: Submission of Share Holding Pattern for the Quarter ended 31/03/2021

In terms of Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on 31/03/2021 for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully

For **Authum Investment & Infrastructure Limited**



Amit Dangi

Whole Time Director & CFO

DIN: 06527044

Encl: As above.

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2021

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/03/2021

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	11090906	0	0	11090906	68.7198	11090906	0	11090906	68.7198	6300000	77.5019	0	0.0000	0	0.0000	11090906
(B)	Public	639	5048420	0	0	5048420	31.2802	5048420	0	5048420	31.2802	0	22.4981	0	0.0000	NA		5048420
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	641	16139326	0	0	16139326	100.0000	16139326	0	16139326	100.0000	6300000	100.0000	0				16139326

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2021

	Category & Name of the Shareholders (I)	PAN	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class x	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided		1	11090906	0	0	11090906	68.7198	11090906	0	11090906	68.7198	0	49.4262	0	0.0000	0	0.0000	11090906
	ALPANA SANJAY DANGI	AFNPD2805C	1	11090906	0	0	11090906	68.7198	11090906	0	11090906	68.7198	0	49.4262	0	0.0000	0	0.0000	11090906
(b)	Central Government/State Government(s)												0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate		1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	28.0757	0	0.0000	0	0.0000	0
	MENTOR CAPITAL LTD	AACCP7995G	1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	28.0757	0	0.0000	0	0.0000	0
	Sub Total		2	11090906	0	0	11090906	68.7198	11090906	0	11090906	68.7198	6300000	77.5019	0	0.0000	0	0.0000	11090906
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0
(b)	Government												0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions												0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	11090906	0	0	11090906	68.7198	11090906	0	11090906	68.7198	6300000	77.5019	0	0.0000	0	0.0000	11090906

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2021

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (Not applicable) (b)
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		3	972864	0	0	972864	6.0279	972864	0	972864	6.0279	0	4.3355	0	0.0000	NA		972864
	NEXPACT LIMITED	AAFCN0208F	1	800919	0	0	800919	4.9625	800919	0	800919	4.9625	0	3.5693	0	0.0000	NA		800919
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		3	972864	0	0	972864	6.0279	972864	0	972864	6.0279	0	4.3355	0	0.0000	NA		972864
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		605	165328	0	0	165328	1.0244	165328	0	165328	1.0244	0	0.7368	0	0.0000	NA		165328
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		10	1228471	0	0	1228471	7.6117	1228471	0	1228471	7.6117	0	5.4746	0	0.0000	NA		1228471
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	1.6729	270000	0	270000	1.6729	0	1.2032	0	0.0000	NA		270000
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	1.6145	260572	0	260572	1.6145	0	1.1612	0	0.0000	NA		260572

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2021

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)		
								Class x	Class y	Total								
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1087	17550	0	17550	0.1087	0	0.0782	0	0.0000	NA		17550
Bodies Corporate		14	2664116	0	0	2664116	16.5070	2664116	0	2664116	16.5070	0	11.8725	0	0.0000	NA		2664116
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	979900	0	0	979900	6.0715	979900	0	979900	6.0715	0	4.3669	0	0.0000	NA		979900
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	746144	0	0	746144	4.6231	746144	0	746144	4.6231	0	3.3252	0	0.0000	NA		746144
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	621879	0	0	621879	3.8532	621879	0	621879	3.8532	0	2.7714	0	0.0000	NA		621879
Clearing Member		4	40	0	0	40	0.0002	40	0	40	0.0002	0	0.0002	0	0.0000	NA		40
Non Resident Individual		1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA		1
Foreign Company		1	50	0	0	50	0.0003	50	0	50	0.0003	0	0.0002	0	0.0000	NA		50
Sub Total		636	4075556	0	0	4075556	25.2523	4075556	0	4075556	25.2523	0	18.1626	0	0.0000	NA		4075556
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		639	5048420	0	0	5048420	31.2802	5048420	0	5048420	31.2802	0	22.4981	0	0.0000	NA		5048420

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Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2021

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of diluted securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total			As a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 31/03/2021												
Details of the (SBO)			Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)			(II)				Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1				ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	11090906	68.7198				
	Total						11090906	68.7198				