

27/03/2021

To,
The Secretary
The Calcutta Stock Exchange Limited
Listing Department
7, Lyons Range
Kolkata – 700 001
Scrip Code: 011262

Sub: Submission of Share Holding Pattern as on 26/03/2021 on completion of Basis of Allotment of Rights Issue of Equity Shares of the Company

Dear Sir / Madam,

In terms of Regulation 31(1)(a) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on as on 26/03/2021 on completion of Basis of Allotment of Rights Issue of Equity Shares of the Company for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully
For **Authum Investment & Infrastructure Limited**



Amit Dangi
Whole Time Director & CFO
DIN: 06527044

Encl: As above.

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 23/03/2021

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | Yes | |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in Locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 23/03/2021

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|--|---|------------|----------|-------------------------|---|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter group | 2 | 11090906 | 0 | 0 | 11090906 | 68.7198 | 11090906 | 0 | 11090906 | 68.7198 | 6300000 | 77.5019 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| (B) | Public | 610 | 5048420 | 0 | 0 | 5048420 | 31.2802 | 5048420 | 0 | 5048420 | 31.2802 | 0 | 22.4981 | 0 | 0.0000 | NA | | 5048420 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | NA | | |
| (C1) | Shares underlying DRs | | | | | | NA | | | | | | | | | NA | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | NA | | |
| | Total | 612 | 16139326 | 0 | 0 | 16139326 | 100.0000 | 16139326 | 0 | 16139326 | 100.0000 | 6300000 | 100.0000 | 0 | | | | 16139326 |

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 23/03/2021

| | Category & Name of the Shareholders (I) | PAN | (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|-----|---|------------|------|----------------------------|--|---------------------------------------|--|--|--|---|---------|----------|-------------------------------------|---|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | | | Class x | Class y | Total | | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | | | | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided | | | 1 | 11090906 | 0 | 0 | 11090906 | 68.7198 | 11090906 | 0 | 11090906 | 68.7198 | 0 | 49.4262 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| | ALPANA SANJAY DANGI | AFNPD2805C | | 1 | 11090906 | 0 | 0 | 11090906 | 68.7198 | 11090906 | 0 | 11090906 | 68.7198 | 0 | 49.4262 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Bodies Corporate | | | 1 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 6300000 | 28.0757 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | MENTOR CAPITAL LTD | AACCP7995G | | 1 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 6300000 | 28.0757 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Sub Total | | | 2 | 11090906 | 0 | 0 | 11090906 | 68.7198 | 11090906 | 0 | 11090906 | 68.7198 | 6300000 | 77.5019 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (b) | Government | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Institutions | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (e) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Sub Total | | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | 2 | 11090906 | 0 | 0 | 11090906 | 68.7198 | 11090906 | 0 | 11090906 | 68.7198 | 6300000 | 77.5019 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table III - Statement Showing shareholding pattern of the Public shareholder as on 23/03/2021

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|---|------------|----------------------------|--|---------------------------------------|--|--|--|---|---------|---------|---|--|----------------------------------|---------|---|---------|---|----------------------------|
| | | | | | | | | | Class x | Class y | Total | | | As a % of Total Voting rights | No. (a) | As a % of total shares (b) | No. (a) | | As a % of total shares (b) |
| | | | | | | | | | | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds / UTI | | | | | | | | | | | | | | | | | | |
| (b) | Venture Capital Funds | | | | | | | | | | | | | | | | | | |
| (c) | Alternate Investment Funds | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | | 3 | 971714 | 0 | 0 | 971714 | 6.0208 | 971714 | 0 | 971714 | 6.0208 | 0 | 4.3304 | 0 | 0.0000 | NA | | 971714 |
| | NEXPACT LIMITED | AAFCN0208F | 1 | 800919 | 0 | 0 | 800919 | 4.9625 | 800919 | 0 | 800919 | 4.9625 | 0 | 3.5693 | 0 | 0.0000 | NA | | 800919 |
| (f) | Financial Institutions / Banks | | | | | | | | | | | | | | | | | | |
| (g) | Insurance Companies | | | | | | | | | | | | | | | | | | |
| (h) | Provident Funds / Pension Funds | | | | | | | | | | | | | | | | | | |
| (i) | Any Other (specify) | | | | | | | | | | | | | | | | | | |
| | Sub Total | | 3 | 971714 | 0 | 0 | 971714 | 6.0208 | 971714 | 0 | 971714 | 6.0208 | 0 | 4.3304 | 0 | 0.0000 | NA | | 971714 |
| (2) | Government(s) / President of India | | | | | | | | | | | | | | | | | | |
| (3) | Non-Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Individuals- | | | | | | | | | | | | | | | | | | |
| | i. Individual shareholders holding nominal share capital upto Rs.2 lakhs | | 578 | 167836 | 0 | 0 | 167836 | 1.0399 | 167836 | 0 | 167836 | 1.0399 | 0 | 0.7480 | 0 | 0.0000 | NA | | 167836 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs | | 10 | 1228471 | 0 | 0 | 1228471 | 7.6117 | 1228471 | 0 | 1228471 | 7.6117 | 0 | 5.4746 | 0 | 0.0000 | NA | | 1228471 |
| | PRITHVIRAJ SAREMAL KOTHARI | AACTP4053E | 1 | 270000 | 0 | 0 | 270000 | 1.6729 | 270000 | 0 | 270000 | 1.6729 | 0 | 1.2032 | 0 | 0.0000 | NA | | 270000 |
| | NAMITA KETAN KOTHARI | AACTK3210A | 1 | 260572 | 0 | 0 | 260572 | 1.6145 | 260572 | 0 | 260572 | 1.6145 | 0 | 1.1612 | 0 | 0.0000 | NA | | 260572 |

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 23/03/2021

| Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--|------------|----------------------------|--|---------------------------------------|--|--|--|---|---------|---------|---|--|----------------------------------|----------------------------|---|--|---|---------|
| | | | | | | | | No of Voting Rights | | | | | As a % of total shares (a) | As a % of total shares (b) | No. (Not applicable) (a) | As a % of total shares held (Not applicable) (b) | | |
| | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (b) NBFCs registered with RBI | | | | | | | | | | | | | | | | | | |
| (c) Employee Trusts | | | | | | | | | | | | | | | | | | |
| (d) Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | |
| (e) Any Other (specify) | | | | | | | | | | | | | | | | | | |
| Investor Education and Protection Fund Authority | | 1 | 17550 | 0 | 0 | 17550 | 0.1087 | 17550 | 0 | 17550 | 0.1087 | 0 | 0.0782 | 0 | 0.0000 | NA | | 17550 |
| Bodies Corporate | | 13 | 2662777 | 0 | 0 | 2662777 | 16.4987 | 2662777 | 0 | 2662777 | 16.4987 | 0 | 11.8666 | 0 | 0.0000 | NA | | 2662777 |
| COSMOS HEIGHTS & DEVELOPERS LLP | AALFC9127F | 1 | 979900 | 0 | 0 | 979900 | 6.0715 | 979900 | 0 | 979900 | 6.0715 | 0 | 4.3669 | 0 | 0.0000 | NA | | 979900 |
| NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED | AACCN7000Q | 1 | 746144 | 0 | 0 | 746144 | 4.6231 | 746144 | 0 | 746144 | 4.6231 | 0 | 3.3252 | 0 | 0.0000 | NA | | 746144 |
| GOGIA CAPITAL SERVICES LIMITED | AAACG2906L | 1 | 621879 | 0 | 0 | 621879 | 3.8532 | 621879 | 0 | 621879 | 3.8532 | 0 | 2.7714 | 0 | 0.0000 | NA | | 621879 |
| Clearing Member | | 3 | 21 | 0 | 0 | 21 | 0.0001 | 21 | 0 | 21 | 0.0001 | 0 | 0.0001 | 0 | 0.0000 | NA | | 21 |
| Non Resident Individual | | 1 | 1 | 0 | 0 | 1 | 0.0000 | 1 | 0 | 1 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | | 1 |
| Foreign Company | | 1 | 50 | 0 | 0 | 50 | 0.0003 | 50 | 0 | 50 | 0.0003 | 0 | 0.0002 | 0 | 0.0000 | NA | | 50 |
| Sub Total | | 607 | 4076706 | 0 | 0 | 4076706 | 25.2595 | 4076706 | 0 | 4076706 | 25.2595 | 0 | 18.1677 | 0 | 0.0000 | NA | | 4076706 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 610 | 5048420 | 0 | 0 | 5048420 | 31.2802 | 5048420 | 0 | 5048420 | 31.2802 | 0 | 22.4981 | 0 | 0.0000 | NA | | 5048420 |

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 23/03/2021

| | Category & Name of the Shareholders (I) | PAN (II) | No of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV) + (V)+(VI) | Shareholding % calculated as per SCRR. 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|----------|--------------------------|--|---------------------------------------|--|--|---|---|---------|-------|---|--|-------------------------------------|---------------------------------|---|--------------------------|---|---------------------------------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | As a % of total shares held (a) | As a % of total shares held (b) | No. (Not applicable) (a) | | As a % of total shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Custodian / DR Holder | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | |
| | Public Shareholding (C)=(C)(1)+(C)(2) | | | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Annexure

Table V - Statement showing details of significant beneficial owners as on 23/03/2021

| Details of the (SBO) | | Details of the registered owner | | | Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: | | | | | Date of creation / acquisition of significant beneficial interest | |
|----------------------|-------|--|-------------|---------------------|---|-------------|------------|-------------------|--|---|-----------------------------------|
| (I) | | (II) | | | Whether by virtue of: (III) | | | | | (IV) | |
| Sr No | Name | PAN / Passport No. in case of a foreign national | Nationality | Name | PAN / Passport No. in case of a foreign national | Nationality | Shares (%) | Voting rights (%) | Rights on distributable dividend or any other distribution (%) | Exercise of control | Exercise of significant influence |
| 1 | | | | ALPANA SANJAY DANGI | AFNPD2805C | INDIAN | 11090906 | 68.7198 | | | |
| | Total | | | | | | 11090906 | 68.7198 | | | |