

# AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED

**Registered Office:** 707, Raheja Centre, Free Press Journal Road, Nariman Point, Mumbai 400 021.

**CIN:** L51109MH1982PLC319008, **Website:** www.authum.com, **Email:** authum.investment@gmail.com, **Tel.:** 022-67472117

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**07/10/2020**

To,  
The Secretary  
The Calcutta Stock Exchange Limited  
Listing Department  
7, Lyons Range  
Kolkata – 700 001  
Scrip Code: 011262

**Sub: Submission of Share Holding Pattern for the Quarter ended 30/09/2020**

Dear Sir / Madam,

In terms of Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on 30/09/2020 for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully

For **Authum Investment & Infrastructure Limited**



**Amit Dangi**  
**Director & CFO**  
**DIN: 06527044**

Encl: As above.

**Format of holding of specified securities**

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC3190)

2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2020

b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 30/09/2020

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares	No. (a)		As a % of total shares
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter group	2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	8615906
(B)	Public	603	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA		2912184
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	605	11528090	0	0	1.2E+07	100.0000	11528090	0	11528090	100.0000	6300000	100.0000	0				11528090

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2020

	Category & Name of the Shareholders (I)	PAN	Nos. of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
										Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Indian																				
(a)	Individuals/Hindu undivided Family		1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	0	0.0000	8615906
	ALPANA SANJAY DANGI Central Government/State Government(s)	AFNPD2805C	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	48.3277	0	0.0000	0	0.0000	0	0.0000	8615906
(b)													0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate		1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0	0.0000	0
	MENTOR CAPITAL LTD	AACCP7995G	1	0	0	0	0	0.0000	0	0	0	0.0000	6300000	35.3375	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	0	0.0000	8615906
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	6300000	83.6652	0	0.0000	0	0.0000	0	0.0000	8615906

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					As a % of total shares held (a)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)			
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
	NEXPACT LIMITED	AAFCN0208F	1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		1	575919	0	0	575919	4.9958	575919	0	575919	4.9958	0	3.2304	0	0.0000	NA		575919
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		578	166596	0	0	166596	1.4451	166596	0	166596	1.4451	0	0.9345	0	0.0000	NA		166596
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		7	790677	0	0	790677	6.8587	790677	0	790677	6.8587	0	4.4350	0	0.0000	NA		790677

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					As a % of total shares held (a)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class x	Class y	Total							
PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	2.3421	270000	0	270000	2.3421	0	1.5145	0	0.0000	NA	270000
NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	2.2603	260572	0	260572	2.2603	0	1.4616	0	0.0000	NA	260572
(b) NBFCs registered with RBI																	
(c) Employee Trusts																	
(d) Overseas Depositories (holding DRs) (balancing figure)																	
(e) Any Other (specify)																	
Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1522	17550	0	17550	0.1522	0	0.0984	0	0.0000	NA	17550
Bodies Corporate		11	1359803	0	0	1359803	11.7956	1359803	0	1359803	11.7956	0	7.6273	0	0.0000	NA	1359803
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	621879	0	0	621879	5.3945	621879	0	621879	5.3945	0	3.4882	0	0.0000	NA	621879
JAMISH INVESTMENT PVT. LTD.	AAACJ8884A	1	365647	0	0	365647	3.1718	365647	0	365647	3.1718	0	2.0510	0	0.0000	NA	365647
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	162488	0	0	162488	1.4095	162488	0	162488	1.4095	0	0.9114	0	0.0000	NA	162488
Clearing Member		4	1638	0	0	1638	0.0142	1638	0	1638	0.0142	0	0.0092	0	0.0000	NA	1638
Non Resident Individual		1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA	1
Sub Total		602	2336265	0	0	2336265	20.2658	2336265	0	2336265	20.2658	0	13.1044	0	0.0000	NA	2336265
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		603	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	16.3348	0	0.0000	NA	2912184

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2020

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
																			No. of Voting Rights
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/09/2020												
Details of the (SBO)			Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)			(II)				Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1				ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	8615906	74.7384				
	Total						8615906	74.7384				