

## ANNEXURE I

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity: - Authum Investment & Infrastructure Ltd.
2. Quarter ending: 30/06/2023

<b>I Composition of Board of Directors</b>												
Title (Mr / Ms)	Name of the Director	PAN <sup>s</sup> & DIN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee) <sup>&amp;</sup>	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure * (In months)	Date of Birth	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Sanjay Dangi	AHSPD6986H00012833	Chairperson - Non-Executive – Non-Independent Director	21-04-2021	-	-	-	04-06-1970	1	0	2	0
Mrs.	Alpana Dangi	AFNPD2805C01506529	Non-Executive – Non-Independent	30-09-2019	-	-	-	29-10-1972	1	0	0	0
Mr.	Vimal Ajmera	AFXPA8313E07011895	Non-Executive - Independent Director	30-09-2019	-	-	45	06-07-1974	1	1	1	0
Mr.	Amit Dangi	AYBPD9431B06527044	Executive Director	29-06-2020	-	-	-	30-01-1991	1	0	4	0
Mrs.	Bhaviika Jain	AOQPJ6550A08738884	Non-Executive - Independent Director	30-05-2020	-	-	37	08-04-1990	1	1	2	0

Mr.	Haridas Bhat	AADPB6724H 09691308	Non-Executive – Independent Director	01-08-2022	-	-	11	10-05-1962	1	1	1	1
Mr.	Rahul Bagaria	ANFPB5213L 06611268	Non-Executive – Independent Director	01-08-2022	-	-	11	16-08-1989	2	1	5	2
	YES	Whether Regular chairperson appointed										
	NO	Whether Chairperson is related to managing director or CEO										
		<p>§ PAN of any director would not be displayed on the website of Stock Exchange</p> <p>* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period</p>										

## II Composition of Committees

<i>Name of Committee</i>	Whether Regular chairperson appointed	Name of Committee members	<i>Category (Chairperson/ Executive/ Non-Executive/ independent/Nominee) §</i>	Date of Appointment	Date of Cessation
1. Audit Committee	YES	1) Amit Dangi 2) Haridas Bhat 3) Rahul Bagaria	1) Executive Director (Member) 2) Non-Executive - Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member)	03-01-2020 01-08-2022 01-08-2022	- - -
2. Nomination & Remuneration Committee	YES	1) Alpana Dangi 2) Vimal Ajmera 3) Rahul Bagaria	1) Non-Executive – Non-Independent Director (Member) 2) Non-Executive - Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	29-06-2020 03-01-2020 01-08-2022	- - -
3. Risk Management Committee	YES	1) Amit Dangi 2) Sanjay Dangi 3) Haridas Bhat	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member)	12-11-2020 04-10-2021 01-08-2022	- - -
4. Stakeholders Relationship Committee <sup>7</sup>	YES	1) Amit Dangi 2) Vimal Ajmera 3) Rahul Bagaria	1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	03-01-2020 03-01-2020 01-08-2022	- - -
5. Corporate Social Responsibility Committee	YES	1) Amit Dangi 2) Vimal Ajmera 3) Bhavika Jain	1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	03-01-2020 03-01-2020 01-08-2022	- - -
6. Investment Committee	YES	1) Amit Dangi 2) Sanjay Dangi 3) Haridas Bhat	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member)	12-11-2020 21-12-2021 01-08-2022	- - -

7. Securities Allotment and Redemption Committee	YES	1) Amit Dangi 2) Sanjay Dangi 3) Bhaviika Jain	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member)	21-12-2021 21-12-2021 01-08-2022	- - -
8. Asset Liability Management Committee	YES	1) Amit Dangi 2) Sanjay Dangi 3) Rahul Bagaria	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member)	14-11-2019 21-04-2021 01-08-2022	- - -

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

### III Meeting of Board of Directors

<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
10-01-2023	-	YES	7	4	-
13-02-2023	-	YES	5	4	33
10-03-2023	-	YES	5	4	24
-	24-05-2023	YES	4	3	74
-	30-05-2023	YES	4	3	5

\* to be filled in only for the current quarter meetings

### IV. Meeting of Committees

<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met(details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
Audit Committee	-	YES	3	2	13-02-2023	-
Audit Committee	30-05-2023	YES	2	1	-	105
Nomination and Remuneration Committee	-	YES	2	2	13-02-2023	-
Nomination and Remuneration Committee	-	YES	3	2	10-03-2023	24
Risk Management Committee	-	YES	2	1	13-02-2023	-
Risk Management Committee	30-05-2023	YES	2	1	-	105
Corporate Social Responsibility Committee	-	YES	3	2	13-02-2023	-
Asset Liability Management Committee	-	YES	2	1	13-02-2023	-
Asset Liability Management Committee	29-05-2023	YES	2	1	-	104
Investment Committee	-	YES	2	1	13-02-2023	-
Investment Committee	30-05-2023	YES	2	1	-	105

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

<b>V. Related Party Transactions</b>		
<b>Subject</b>	<b>Compliance status (Yes/No/NA) refer note below</b>	
Whether prior approval of audit committee obtained	YES	
Whether shareholder approval obtained for material RPT	NA	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES	
<b>Note</b>		
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.		
2 If status is "No" details of non-compliance may be given here.		
<b>VI. Affirmations</b>		
<b>Sr. No</b>	<b>Subject</b>	<b>Compliance Status</b>
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure Requirements) Regulations, 2015	YES
2	The composition of the Audit Committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
3	The composition of Nomination & Remuneration Committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
4	The composition of Stakeholders Relationship Committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
5	The composition of Risk Management Committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here	YES
For <b>Authum Investment &amp; Infrastructure Limited</b>		
<b>Hitesh Vora</b> <b>Company Secretary and Compliance Officer</b> <b>Mem No.: A40193</b>		