

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2023

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 30/09/2023

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	8451840	6.6765	0	0.0000	126590913	
(B)	Public	6236	43254187	0	0	43254187	25.4668	43254187	0	43254187	25.4668	0	0	0.0000	NA		43254187	
(C)	Non Promoter - Non Public														NA			
(C1)	Shares underlying DRs						NA								NA			
(C2)	Shares held by Employee Trusts														NA			
	Total	6238	169845100	0	0	169845100	100.0000	169845100	0	169845100	#####	0	8451840	4.9762			169845100	

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2023

	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
											Class	x	Class y				Total	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
(1)	Indian																					
(a)	Individuals/Hindu undivided				1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060	
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060	
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0		
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0		
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0		
	Bodies Corporate				1	15681853	0	0	15681853	9.2330	15681853	0	15681853	9.2330	0	9.2330	8451840	53.8957	0	0.0000	15681853	
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	15681853	0	0	15681853	9.2330	15681853	0	15681853	9.2330	0	9.2330	8451840	53.8957	0	0.0000	15681853	
	Sub Total				2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913	
(2)	Foreign																					
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0		
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0		
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0		
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0		
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0		
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913	

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2023

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)					
									Class	y	Total				No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		Shareholding (No of shares) under					
																				Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)			
(1)	Institutions (Domestic)																								
(a)	Mutual Funds																								
(b)	Venture Capital Funds																								
(c)	Alternate Investment Funds																								
(d)	Banks																								
(e)	Insurance Companies																								
(f)	Provident Funds / Pension Funds																								
(g)	Asset Reconstruction Companies																								
(h)	Sovereign Wealth Funds																								
(i)	NBFCs Registered with RBI																								
(j)	Other Financial Institutions																								
(k)	Any Other (specify)																								
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0	0	0	0
(2)	Institutions (Foreign)																								
(a)	Foreign Direct Investment																								
(b)	Foreign Venture Capital Investors																								
(c)	Sovereign Wealth Funds																								
(d)	Foreign Portfolio Investors Category I		3	4109310	0	0	4109310	2.4194	4109310	0	4109310	2.4194	0	2.4194	0	0.0000	NA		4109310	0	0	0	0	0	0
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		2592850	0	0	0	0	0	0
(e)	Foreign Portfolio Investors Category II		2	8016834	0	0	8016834	4.7201	8016834	0	8016834	4.7201	0	4.7201	0	0.0000	NA		8016834	0	0	0	0	0	0
	NEXPACT LIMITED	AAFNC0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA		8009190	0	0	0	0	0	0
(f)	Overseas Depositories (holding DRs) (balancing figure)																								
(g)	Any Other (specify)																								

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2023

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
									Class	y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	Shareholding (No of shares) under		
																				Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
	Sub Total		5	12126144	0	0	12126144	7.1395	12126144	0	12126144	7.1395	0	0.0000	NA			12126144	0	0	0	
(3)	Central Government / State Government(s)																					
(a)	Central Government / President of India																					
(b)	State Government / Governor																					
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																					
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA			0	0	0	0	
(4)	Non-Institutions																					
(a)	Associate Companies / Subsidiaries																					
(b)	Directors and their relatives (excluding independent directors and nominee directors)																					
(c)	Key Managerial Personnel																					
(d)	Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)																					
(e)	Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																					
(f)	Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.0000	NA			175500	0	0	0	
(g)	Resident Individuals holding nominal share capital upto Rs.2 lakhs		6052	2273432	0	0	2273432	1.3385	2273432	0	2273432	1.3385	0	0.0000	NA			2273432	0	0	0	
(h)	Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		7	8641260	0	0	8641260	5.0877	8641260	0	8641260	5.0877	0	0.0000	NA			8641260	0	0	0	

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2023

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
								Class	y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	Shareholding (No of shares) under		
																			Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA		2700000	0	0	0
NAMITA KETAN KOTHARI	AACTK3210A	1	1970720	0	0	1970720	1.1603	1970720	0	1970720	1.1603	0	1.1603	0	0.0000	NA		1970720	0	0	0
(i) Non Resident Indians (NRIs)		36	15067	0	0	15067	0.0089	15067	0	15067	0.0089	0	0.0089	0	0.0000	NA		15067	0	0	0
(j) Foreign National																					
(k) Foreign Company / OCB																					
(l) Bodies Corporate		53	19699652	0	0	19699652	11.5986	19699652	0	19699652	11.5986	0	11.5986	0	0.0000	NA		19699652	0	0	0
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA		9799000	0	0	0
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	2261440	0	0	2261440	1.3315	2261440	0	2261440	1.3315	0	1.3315	0	0.0000	NA		2261440	0	0	0
SETU SECURITIES PRIVATE LIMITED	AAGCS3919K	3	5428790	0	0	5428790	3.1963	5428790	0	5428790	3.1963	0	3.1963	0	0.0000	NA		5428790	0	0	0
(m) Any Other (specify)																					
Resident Individual (HUF)		77	165239	0	0	165239	0.0973	165239	0	165239	0.0973	0	0.0973	0	0.0000	NA		165239	0	0	0
Clearing Member		5	157893	0	0	157893	0.0930	157893	0	157893	0.0930	0	0.0930	0	0.0000	NA		157893	0	0	0
Sub Total		6231	31128043	0	0	31128043	18.3273	31128043	0	31128043	18.3273	0	18.3273	0	0.0000	NA		31128043	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6236	43254187	0	0	43254187	25.4668	43254187	0	43254187	25.4668	0	25.4668	0	0.0000	NA		43254187	0	0	0
Details of the shareholders acting as persons in Concert																					
Sl No	Name	PAN	Shares																		
	NIL																				

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2023

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No of Voting Rights				Total as a % of Total Voting Rights (X)	No of shares Underlying outstanding convertible securities (including Warrants) (X)	As a % of total shares held (a)	As a % of total shares held (b)		Nos. (Not applicable) (a)	As a % of total share (b)
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/09/2023												
Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	ALPANA SANJAY	AFNPD2805C	INDIAN	110909060	65.3001				
	Total						110909060	65.3001				

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Table VI - Statement showing foreign ownership limits as on 30/09/2023

	Board approved limits	Limits utilized
As on shareholding date	100.0000	7.1484
As on 30/06/2023	100.0000	7.1433
As on 31/03/2023	100.0000	7.0117
As on 31/12/2022	100.0000	7.0164
As on 30/09/2022	100.0000	6.7499