

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: - Authum Investment & Infrastructure Ltd.
2. Quarter ending: 31/12/2022

| I Composition of Board of Directors | | | | | | | | | | | | |
|--|----------------------|------------------------|--|-----------------------------|------------------------|-------------------|----------------------|---------------|--|---|---|--|
| Title (Mr / Ms) | Name of the Director | PAN ^s & DIN | Category (Chairperson / Executive / Non-Executive / Independent / Nominee) & | Initial Date of Appointment | Date of Re-Appointment | Date of Cessation | Tenure * (In months) | Date of Birth | No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] | No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
| Mr. | Sanjay Dangi | AHSPD6986H00012833 | Chairperson - Non-Executive – Non-Independent Director | 21-04-2021 | - | - | - | 04-06-1970 | 1 | 0 | 2 | 0 |
| Mrs. | Alpana Dangi | AFNPD2805C01506529 | Non-Executive – Non-Independent Director | 30-09-2019 | - | - | - | 29-10-1972 | 1 | 0 | 0 | 0 |
| Mr. | Amit Dangi | AYBPD9431B06527044 | Executive Director | 29-06-2020 | - | - | - | 30-01-1991 | 1 | 0 | 4 | 0 |
| Mr. | Vimal Ajmera | AFXPA8313E07011895 | Non-Executive - Independent Director | 30-09-2019 | - | - | 39 | 06-07-1974 | 1 | 1 | 1 | 0 |
| Mrs. | Bhaviika Jain | AOQPJ6550A08738884 | Non-Executive - Independent Director | 30-05-2020 | - | - | 31 | 08-04-1990 | 1 | 1 | 2 | 0 |
| Mr. | Haridas Bhat | AADPB6724H09691308 | Non-Executive - Independent Director | 01-08-2022 | - | - | 5 | 10-05-1962 | 1 | 1 | 1 | 1 |

| | | | | | | | | | | | | |
|-----|---------------|---|--|------------|---|---|---|------------|---|---|---|---|
| Mr. | Rahul Bagaria | ANFPB5213L 06611268 | Non-Executive – Independent Director | 01-08-2022 | - | - | 5 | 16-08-1989 | 2 | 2 | 5 | 2 |
| | YES | Whether Regular chairperson appointed | | | | | | | | | | |
| | NO | Whether Chairperson is related to managing director or CEO | | | | | | | | | | |
| | | <p>§ PAN of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period</p> | | | | | | | | | | |

II. Composition of Committees

| <i>Name of Committee</i> | Whether Regular chairperson appointed | Name of Committee members | <i>Category (Chairperson/ Executive/ Non-Executive/ independent/ Nominee) §</i> | <i>Date of Appointment</i> | <i>Date of Cessation</i> |
|--|---------------------------------------|--|---|--|--------------------------|
| 1. Audit Committee | YES | 1) Amit Dangi 2) Haridas Bhat 3) Rahul Bagaria | 1) Executive Director (Member) 2) Non-Executive - Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) | 03-01-2020 01-08-2022 01-08-2022 | - - - |
| 2. Nomination & Remuneration Committee | YES | 1) Alpana Dangi 2) Vimal Ajmera 3) Rahul Bagaria | 1) Non-Executive – Non-Independent Director (Member) 2) Non-Executive - Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson) | 29-06-2020 03-01-2020 01-08-2022 | - - - |
| 3. Risk Management Committee | YES | 1) Amit Dangi 2) Sanjay Dangi 3) Haridas Bhat | 1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) | 12-11-2020 04-10-2021 01-08-2022 | - - - |
| 4. Stakeholders Relationship Committee' | YES | 1) Amit Dangi 2) Vimal Ajmera 3) Rahul Bagaria | 1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson) | 03-01-2020 03-01-2020 01-08-2022 | - - - |
| 5. Corporate Social Responsibility Committee | YES | 1) Amit Dangi 2) Vimal Ajmera 3) Bhaviika Jain | 1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson) | 03-01-2020 03-01-2020 01-08-2022 | - - - |
| 6. Investment Committee | YES | 1) Amit Dangi 2) Sanjay Dangi 3) Haridas Bhat | 1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) | 12-11-2020 21-12-2021 01-08-2022 | - - - |
| 7. Securities Allotment and Redemption Committee | YES | 1) Amit Dangi 2) Sanjay Dangi 3) Bhaviika Jain | 1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) | 21-12-2021 21-12-2021 01-08-2022 | - - - |

| | | | | | |
|---|-----|--|---|--|-------------|
| 8. Asset Liability Management Committee | YES | 1) Amit Dangi 2) Sanjay Dangi 3) Rahul Bagaria | 1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) | 14-11-2019 21-04-2021 01-08-2022 | - - - |
|---|-----|--|---|--|-------------|

* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III Meeting of Board of Directors

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met* | Number of Directors present* | Number of independent directors present* | Maximum gap between any two consecutive (in number of days) |
|---|---|------------------------------------|------------------------------|--|---|
| 08-08-2022 | - | YES | 6 | 4 | - |
| 27-09-2022 | - | YES | 6 | 4 | 49 |
| - | 09-11-2022 | YES | 6 | 4 | 42 |

* to be filled in only for the current quarter meetings

IV Meeting of Committees'

| Name of Committee | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met(details)* | Number of Directors present* | Number of independent directors present* | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings in number of days* |
|---------------------------------------|---|---|------------------------------|--|---|---|
| Audit Committee | - | YES | 3 | 2 | 08-08-2022 | - |
| Audit Committee | 09-11-2022 | YES | 3 | 2 | - | 92 |
| Nomination and Remuneration Committee | - | YES | 2 | 2 | 08-08-2022 | - |
| Risk Management Committee | - | YES | 3 | 1 | 08-08-2022 | - |
| Risk Management Committee | 09-11-2022 | YES | 3 | 1 | - | 92 |
| Asset Liability Management Committee | - | YES | 3 | 1 | 08-08-2022 | - |
| Asset Liability Management Committee | 09-11-2022 | YES | 3 | 1 | - | 92 |
| Investment Committee | - | YES | 3 | 1 | 08-08-2022 | - |
| Investment Committee | 09-11-2022 | YES | 3 | 1 | - | 92 |



* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

V Related Party Transactions

| Subject | Compliance status (Yes/No/NA) refer note below |
|--|--|
| Whether prior approval of audit committee obtained | YES |
| Whether shareholder approval obtained for material RPT | YES |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | YES |

Note

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

| VI Affirmations | | |
|--|--|--------------------------|
| Sr. No | Subject | Compliance Status |
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure Requirements) Regulations, 2015 | YES |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Audit Committee | YES |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Nomination & remuneration committee | YES |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Stakeholders relationship committee | YES |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Risk management committee | YES |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | YES |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | YES |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here | YES |
| <p>For Authum Investment & Infrastructure Limited</p> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="text-align: center;">  <p>Amit K Dangi Whole Time Director & Chief Financial Officer DIN: 06527044</p> </div> <div style="text-align: center;">  </div> </div> | | |