

14/01/2022

To,

**Department of Corporate Relationship
BSE Ltd.**
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001.
Scrip Code: 539177

The Calcutta Stock Exchange Limited
7, Lyons Range, Murgighata, Dalhousie,
Kolkata, West Bengal - 700 001.
Scrip Code: 011262

Dear Sir/Madam,

Sub: Submission of Corporate Governance Report for the Quarter ended 31st December, 2021

In terms of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Corporate Governance Report for the quarter ended 31st December, 2021 is attached herewith for your reference and record.

Kindly acknowledge the receipt and do the needful.

Thanking You
Yours faithfully

For Authum Investment & Infrastructure Limited



Amit Dangi
Whole Time Director & CFO
DIN: 06527044

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: - Authum Investment & Infrastructure Ltd.
2. Quarter ending: 31/12/2021

I Composition of Board of Directors												
Title (Mr / Ms)	Name of the Director	PAN ^S & DIN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee) &	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure * (In months)	Date of Birth	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Alpana Dangi	AFNPD2805C01506529	Non-Executive – Non-Independent Director	30-09-2019	-	-	-	29-10-1972	1	0	0	0
Mr.	Vimal Ajmera	AFXPA8313E07011895	Non-Executive - Independent Director	30-09-2019	-	-	27	06-07-1974	1	1	2	0
Mr.	Amit Dangi	AYBPD9431B06527044	Executive Director, Chairman	29-06-2020	-	-	-	30-01-1991	1	0	2	0
Mrs.	Bhaviika Jain	AOQPJ6550A08738884	Non-Executive - Independent Director	30-05-2020	-	-	19	08-04-1990	1	1	0	0
Mr.	Sanjiv Swarup	ALFPS9863H00132716	Non-Executive - Independent Director	21-10-2020	-	-	14	19-11-1958	2	2	4	3
Mr.	Sanjay Dangi	AHSPD6986H00012833	Non-Executive – Non-Independent Director	21-04-2021	-	-	-	04-06-1965	1	0	1	1
	YES	Whether Regular chairperson appointed										
	NO	Whether Chairperson is related to managing director or CEO										



§ PAN of any director would not be displayed on the website of Stock Exchange
& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period

II Composition of Committees

<i>Name of Committee</i>	Whether Regular chairperson appointed	Name of Committee members	<i>Category (Chairperson/ Executive/ Non-Executive/ independent/ Nominee) §</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1. Audit Committee	YES	1) Amit Dangi 2) Vimal Ajmera 3) Sanjiv Swarup	1) Executive Director (Member) 2) Non-Executive - Independent Director (Member) 3) Non-Executive – Independent Director (Chairperson)	03-01-2020 03-01-2020 21-10-2020	- - -
2. Nomination & Remuneration Committee	YES	1) Alpana Dangi 2) Vimal Ajmera 3) Sanjiv Swarup	1) Non-Executive – Non-Independent Director (Member) 2) Non-Executive - Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	29-06-2020 03-01-2020 21-10-2020	- - -
3. Risk Management Committee	YES	1) Amit Dangi 2) Alpana Dangi 3) Sanjiv Swarup 4) Sanjay Dangi	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Non-Independent Director (Member)	12-11-2020 12-11-2020 10-04-2021 04-10-2021	- 04-10-2021 - -
4. Stakeholders Relationship Committee'	YES	1) Amit Dangi 2) Vimal Ajmera 3) Sanjiv Swarup	1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	03-01-2020 03-01-2020 21-10-2020	- - -
5. Corporate Social Responsibility Committee	YES	1) Amit Dangi 2) Vimal Ajmera 3) Sanjiv Swarup	1) Executive Director (Member) 2) Non-Executive – Independent Director (Member) 3) Non-Executive - Independent Director (Chairperson)	03-01-2020 03-01-2020 21-10-2020	- - -
6. Investment Committee	YES	1) Amit Dangi 2) Alpana Dangi 3) Sanjiv Swarup 4) Sanjay Dangi	1) Executive Director - (Chairperson) 2) Non-Executive – Non-Independent Director (Member) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Non-Independent Director (Member)	12-11-2020 12-11-2020 10-04-2021 21-12-2021	- 21-12-2021 - -
7. Securities Allotment and Redemption Committee	YES	1) Amit Dangi 2) Sanjiv Swarup 3) Sanjay Dangi	1) Executive Director - (Chairperson) 2) Non-Executive - Independent Director (Member) 3) Non-Executive – Non-Independent Director (Member)	21-12-2021 21-12-2021 21-12-2021	- - -

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors						
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>	
02-07-2021	-	YES	6	3	-	
12-07-2021	-	YES	6	3	9	
27-08-2021	-	YES	5	2	45	
-	04-10-2021	YES	3	2	37	
	26-10-2021	YES	5	2	21	
	19-11-2021	YES	6	3	23	
	21-12-2021	YES	6	3	31	
<i>* to be filled in only for the current quarter meetings</i>						
IV. Meeting of Committees						
<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met(details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
Audit Committee	-	YES	3	2	12-07-2021	-
Audit Committee	-	YES	3	2	27-08-2021	45
Audit Committee	26-10-2021	YES	2	1	-	59
Stakeholders Relationship Committee ⁷	26-10-2021	YES	2	1	-	-
Nomination and Remuneration Committee	-	YES	2	2	27-08-2021	-
Risk Management Committee	26-10-2021	YES	2	0	-	-
Securities Allotment and Redemption Committee	21-12-2021	YES	3	1	-	-
<i>* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional</i>						
V. Related Party Transactions						
Subject				Compliance status (Yes/No/NA) refer note below		
Whether prior approval of audit committee obtained				YES		
Whether shareholder approval obtained for material RPT				YES		
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee				YES		
Note						
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.						
2 If status is "No" details of non-compliance may be given here.						

VI. Affirmations		
Sr. No	Subject	Compliance Status
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure Requirements) Regulations, 2015	YES
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Audit Committee	YES
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Nomination & remuneration committee	YES
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Stakeholders relationship committee	YES
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Risk management committee	YES
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here	YES
<p>For Authum Investment & Infrastructure Limited</p> <div style="display: flex; align-items: center;"> <div style="margin-right: 20px;">  Amit Dangi Whole Time Director & CFO DIN: 06527044 </div> <div>  </div> </div>		