

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity: - Authum Investment & Infrastructure Ltd.
2. Quarter ending: 30/06/2020

<b>I Composition of Board of Directors</b>												
Title (Mr / Ms)	Name of the Director	PA N <sup>s</sup> &DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/Nominee) &	Initial Date of Appointment	Date of Re-ApPOINTment in the current term	Date of Cessation	Tenure * (In months)	Date of Birth	No of Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Navin Kumar Jain	ACIPJ7109F00465888	Chairperson - Executive Director	21-03-2013	01-04-2018	-	-	09-07-1975	1	0	0	0
Mr.	Tapan Sodani	AKOPS7369A01921743	Non-Executive Independent Director	29-09-2014	30-09-2019	30-05-2020	68	28-12-1960	1	1	0	0
Ms.	Barkha Agarwal	AKWPA8777A05190461	Non-Executive - Independent Director	30-09-2015	-	30-05-2020	56	06-12-1986	1	1	0	0
Mrs.	Alpana Sanjay Dangi	AFNPD2805C01506529	Non-Executive – Non-Independent Director	30-09-2019	-	-	-	29-10-1972	1	0	0	0
Mr.	Vinit Kishorchandraparikh	AHKPP3751G01461830	Non-Executive - Independent Director	30-09-2019	-	-	9	06-03-1979	1	1	2	2
Mr.	Vimal Ajmera	AFXPA8313E07011895	Non-Executive - Independent Director	30-09-2019	-	-	9	06-07-1974	1	1	2	0

Mr.	Amit K Dangi	AYBPD9431B 06527044	Executive Director	29-06-2020	-	-	-	30-01-1991	1	0	2	0
Mr	Achokan Achuthan	AACPA4879R 08738877	Non-Executive - Independent Director	30-05-2020	-	-	1	05-04-1965	1	1	0	0
Mrs	Bhaviika Jain	AOQPJ6550A 08738884	Non-Executive - Independent Director	30-05-2020	-	-	1	08-04-1990	1	1	0	0
	YES	Whether Regular chairperson appointed										
	NO	Whether Chairperson is related to managing director or CEO										
		<p>§ PAN number of any director would not be displayed on the website of Stock Exchange</p> <p>* Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period</p>										

## II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/ Non-Executive/ independent/Nominee) §	Date of Appointment	Date of Cessation
1. Audit Committee	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh 3) Vimal Ajmera	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member)	03-01-2020 03-01-2020 03-01-2020	-
2. Nomination & Remuneration Committee	YES	1) Amit K Dangi * 2) Vinit Kishorchandra Parikh 3) Vimal Ajmera 4) Alpana Sanjay Dangi	1) Executive Director (Member) 2) Non-Executive - Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) 4) Non-Executive Non-Independent Director (Member)	03-01-2020 03-01-2020 03-01-2020 29-06-2020	29-06-2020
3. Risk Management Committee(if applicable)		NA	NA		-
4. Stakeholders Relationship Committee'	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh 3) Vimal Ajmera	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member)	03-01-2020 03-01-2020 03-01-2020	-
5. Corporate Social Responsibility Committee	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh 3) Vimal Ajmera	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member)	03-01-2020 03-01-2020 03-01-2020	-

\* The Board of Directors at its meeting held on 29th June, 2020 considered change in designation of Mr. Amit Dangi from Non Executive Non-Independent Director to Whole Time Director of the Company. In lieu of the above, Nomination and Remuneration Committee was reconstituted wherein Mr. Amit Dangi ceased to be member of the Committee and Mrs. Alpanan Dangi, Non-Executive Non-Independent Director of the Company was appointed as member of the Committee.

\* Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

### III Meeting of Board of Directors

<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
03-01-2020	-	YES	6	3	-
14-02-2020	-	YES	6	3	103
-	29-06-2020	YES	4	2	15

\* to be filled in only for the current quarter meetings

### IV Meeting of Committees

<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met(details)</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
Audit Committee	-	YES	2	1	14-02-2020	-
Audit Committee	29-06-2020	YES	2	1	-	135
Stakeholders Relationship Committee'	-	YES	2	1	14-02-2020	-
Stakeholders Relationship Committee'	29-06-2020	YES	2	1	-	135
Nomination and Remuneration Committee	-	YES	2	1	14-02-2020	-
Nomination and Remuneration Committee	29-06-2020	YES	2	1	-	135

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

### V Related Party Transactions

<b>Subject</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	YES
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES

#### Note

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

### VI Affirmations

Sr. No	Subject	Compliance Status
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1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure Requirements) Regulations, 2015	YES
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Audit Committee	YES
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Nomination & remuneration committee	YES
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Stakeholders relationship committee	YES
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 Risk management committee(applicable to the top 100 listed entities)	N.A.
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here	YES

For **Authum Investment & Infrastructure Limited**



**Amit K Dangi**  
**Director & Chief Financial Officer**  
**DIN: 06527044**



**Note:**

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement “same as previous quarter” may be given.