

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: - Authum Investment & Infrastructure Ltd.
2. Quarter ending: 31/12/2020

I Composition of Board of Directors												
Title (Mr / Ms)	Name of the Director	PA N ^s &DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/Nominee) &	Initial Date of Appointment	Date of Re-ApPOINTment in the current term	Date of Cessation	Tenure * (In months)	Date of Birth	No of Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Navin Kumar Jain	ACIPJ7109F00465888	Chairperson - Executive Director	21-03-2013	01-04-2018	-	-	09-07-1975	1	0	0	0
Mrs.	Alpana Sanjay Dangi	AFNPD2805C01506529	Non-Executive – Non-Independent Director	30-09-2019	-	-	-	29-10-1972	1	0	1	0
Mr.	Vinit Kishorchandra Parikh	AHKPP3751G01461830	Non-Executive - Independent Director	30-09-2019	-	21-10-2020	13	06-03-1979	1	1	2	2
Mr.	Vimal Ajmera	AFXPA8313E07011895	Non-Executive - Independent Director	30-09-2019	-	-	15	06-07-1974	1	1	2	0
Mr.	Amit K Dangi	AYBPD9431B06527044	Executive Director	29-06-2020	-	-	-	30-01-1991	1	0	1	0

Mr	Achokan Achuthan	AACPA4879R08738877	Non-Executive - Independent Director	30-05-2020	-	-	7	05-04-1965	1	1	0	0
Mrs	Bhaviika Jain	AOQPJ6550A08738884	Non-Executive - Independent Director	30-05-2020	-	-	7	08-04-1990	1	1	0	0
Mr.	Sanjiv Swarup	ALFPS9863H00132716	Non-Executive - Independent Director	21-10-2020	-	-	2	19-11-1958	2	2	4	3

YES	Whether Regular chairperson appointed
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NO	Whether Chairperson is related to managing director or CEO
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§ PAN number of any director would not be displayed on the website of Stock Exchange
& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen
* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period

II Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/ Non-Executive/ independent/Nominee) §	Date of Appointment	Date of Cessation
1. Audit Committee	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh* 3) Vimal Ajmera 4) Sanjiv Swarup #	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Independent Director (Chairperson)	03-01-2020 03-01-2020 03-01-2020 21-10-2020	- 21-10-2020 - -
2. Nomination & Remuneration Committee	YES	1) Alpana Sanjay Dangi 2) Vinit Kishorchandra Parikh* 3) Vimal Ajmera 4) Sanjiv Swarup #	1) Non-Executive – Non-Independent Director (Member) 2) Non-Executive - Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Independent Director (Chairperson)	29-06-2020 03-01-2020 03-01-2020 21-10-2020	- 21-10-2020 - -
3. Risk Management Committee (if applicable) §	YES	1) Amit K Dangi 2) Alpana Sanjay Dangi 3) Ashokan Achuthan	1) Executive Director - (Chairperson) 2) Non-Executive – Non Independent Director (Member) 3) Non-Executive - Independent Director (Member)	12-11-2020 12-11-2020 12-11-2020	- - -
4. Stakeholders Relationship Committee'	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh* 3) Vimal Ajmera 4) Sanjiv Swarup #	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Independent Director (Chairperson)	03-01-2020 03-01-2020 03-01-2020 21-10-2020	- 21-10-2020 - -

5. Corporate Social Responsibility Committee	YES	1) Amit K Dangi 2) Vinit Kishorchandra Parikh* 3) Vimal Ajmera 4) Sanjiv Swarup #	1) Executive Director (Member) 2) Non-Executive – Independent Director (Chairperson) 3) Non-Executive - Independent Director (Member) 4) Non-Executive – Independent Director (Chairperson)	03-01-2020 03-01-2020 03-01-2020 21-10-2020	- 21-10-2020 - -
6. Investment Committee \$\$	YES	1) Amit K Dangi 2) Alpana Sanjay Dangi 3) Ashokan Achuthan	1) Executive Director - (Chairperson) 2) Non-Executive – Non Independent Director (Member) 3) Non-Executive - Independent Director (Member)	12-11-2020 12-11-2020 12-11-2020	- - -

& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

Mr. Sanjiv Swarup was appointed as additional Non-Executive - Independent Director of the Company on 21st October, 2020

* The Board of Directors accepted resignation of Mr. Vinit Kishorchandra Parikh on 21st October, 2020. Hence, the Statutory Committees of the Company were reconstituted wherein Mr. Sanjiv Swarup was appointed as the Chairman of the Committees.

\$ Risk Management Committee is not applicable to the Company. The Company has voluntarily formed Risk Management Committee in its Board Meeting held on 12th November, 2020.

SS The Company has formed Investment Committee in its Board Meeting held on 12th November, 2020.

III Meeting of Board of Directors

<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
31-08-2020	-	YES	6	4	-
-	12-11-2020	YES	5	3	72
-	23-11-2020	YES	4	3	10

* to be filled in only for the current quarter meetings

IV. Meeting of Committees

<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met(details)</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
Audit Committee	-	YES	3	2	31-08-2020	-
Audit Committee	12-11-2020	YES	3	2	-	72
Stakeholders Relationship Committee'	-	YES	3	2	31-08-2020	-
Stakeholders Relationship Committee'	12-11-2020	YES	2	1	-	72
Nomination and Remuneration Committee	-	YES	3	2	31-08-2020	-
Nomination and Remuneration Committee	12-11-2020	YES	3	2	-	72
Investment Committee	30-12-2020	YES	3	1	-	-

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) refer note below
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Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	YES
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES

Note

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.

VI Affirmations

Sr. No	Subject	Compliance Status
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure Requirements) Regulations, 2015	YES
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Audit Committee	YES
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Nomination & remuneration committee	YES
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Stakeholders relationship committee	YES
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Risk management committee (applicable to the top 100 listed entities)	N.A.
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here	YES

For **Authum Investment & Infrastructure Limited**


Amit K Dangi
 Director & Chief Financial Officer
 DIN: 06527044

