

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(2) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	NAVIN KUMAR JAIN	ACIP7109F	00465888	Executive Director	Chairperson		09-07-1975	NA		21-03-2013	01-04-2018			1	0	0	0		
2	Mr	TAPAN SODANI	AKOPS7369A	01921743	Non-Executive - Independent Director	Not Applicable		28-12-1960	NA		29-09-2014	30-09-2019		66	1	1	0	0		
3	Mrs	BARKHA AGARWAL	AKWPA8777A	05190461	Non-Executive - Independent Director	Not Applicable		06-12-1986	NA		30-09-2015			54	1	1	0	0		
4	Mrs	ALPANA SANJAY DANGI	AFNPD2805C	01506529	Non-Executive - Non Independent Director	Not Applicable		29-10-1972	NA		30-09-2019				1	0	0	0		
5	Mr	VINIT KISHORCHANDRA PARIKH	AHKPP3751G	01461830	Non-Executive - Independent Director	Not Applicable		06-03-1979	NA		30-09-2019			6	1	1	2	2		
6	Mr	VIMAL AJMERA	AFXPA8313E	07011895	Non-Executive - Independent Director	Not Applicable		06-07-1974	NA		30-09-2019			6	1	1	2	0		
7	Mr	AMIT K DANGI	AYBD09431B	06527044	Non-Executive - Non Independent Director	Not Applicable		30-01-1991	NA		14-11-2019				1	0	2	0		

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II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto December 31, 2019.
 3. Date of Cessation must be for the current quarter only, i.e. October 1 2019 to December 31 2019

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00465888	NAVIN KUMAR JAIN	Non-Executive - Independent Director	Member	21-03-2013	03-01-2020	
2	01921743	TAPAN SODANI	Non-Executive - Independent Director	Chairperson	29-09-2014	03-01-2020	
3	05190461	BARKHA AGARWAL	Non-Executive - Independent Director	Member	30-09-2015	03-01-2020	
4	01461830	VINIT KISHORCHANDRA PARIKH	Non-Executive - Independent Director	Chairperson	03-01-2020		
5	07011895	VIMAL AJMERA	Non-Executive - Independent Director	Member	03-01-2020		
6	06527044	AMIT K DANGI	Non-Executive - Non Independent Director	Member	03-01-2020		
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00465888	NAVIN KUMAR JAIN	Executive Director	Member	21-03-2013	03-01-2020	
2	01921743	TAPAN SODANI	Non-Executive - Independent Director	Chairperson	29-09-2014	03-01-2020	
3	05190461	BARKHA AGARWAL	Non-Executive - Independent Director	Member	30-09-2015	03-01-2020	
4	01461830	VINIT KISHORCHANDRA PARIKH	Non-Executive - Independent Director	Chairperson	03-01-2020		
5	07011895	VIMAL AJMERA	Non-Executive - Independent Director	Member	03-01-2020		
6	06527044	AMIT K DANGI	Non-Executive - Non Independent Director	Member	03-01-2020		
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00465888	NAVIN KUMAR JAIN	Executive Director	Member	21-03-2013	03-01-2020	
2	01921743	TAPAN SODANI	Non-Executive - Independent Director	Chairperson	29-09-2014	03-01-2020	
3	05190461	BARKHA AGARWAL	Non-Executive - Independent Director	Member	30-09-2015	03-01-2020	
4	01461830	VINIT KISHORCHANDRA PARIKH	Non-Executive - Independent Director	Chairperson	03-01-2020		
5	07011895	VIMAL AJMERA	Non-Executive - Independent Director	Member	03-01-2020		
6	06527044	AMIT K DANGI	Non-Executive - Non Independent Director	Member	03-01-2020		
7							
8							
9							
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00465888	NAVIN KUMAR JAIN	Executive Director	Member	21-03-2013	03-01-2020	
2	01921743	TAPAN SODANI	Non-Executive - Independent Director	Chairperson	29-09-2014	03-01-2020	
3	05190461	BARKHA AGARWAL	Non-Executive - Independent Director	Member	30-09-2015	03-01-2020	
4	01461830	VINIT KISHORCHANDRA PARIKH	Non-Executive - Independent Director	Chairperson	03-01-2020		
5	07011895	VIMAL AJMERA	Non-Executive - Independent Director	Member	03-01-2020		
6	06527044	AMIT K DANGI	Non-Executive - Non Independent Director	Member	03-01-2020		
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
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6						
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9						
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	Add	Delete				
1	14-11-2019			Yes	6	4
2	03-01-2020	49		Yes	6	3
3	14-02-2020	41		Yes	6	3

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* to be filled in only for the current quarter meetings

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Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	14-11-2019				Yes	3	2
2	Audit Committee	03-01-2020			157	Yes	2	1
3	Audit Committee	14-02-2020			158	Yes	2	1
4	Nomination and remuneration committee	14-11-2019				Yes	3	2
5	Nomination and remuneration committee	14-02-2020			159	Yes	2	1
6	Stakeholders Relationship Committee	14-11-2019				Yes	3	2
7	Stakeholders Relationship Committee	14-02-2020			160	Yes	2	1

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* to be filled in only for the current quarter meetings

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V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		http://www.aauthum.com/index.html
2	Terms and conditions of appointment of independent directors	Yes		http://www.aauthum.com/financial-info.html
3	Composition of various committees of board of directors	Yes		http://www.aauthum.com/about.html
4	Code of conduct of board of directors and senior management personnel	Yes		http://www.aauthum.com/financial-info.html
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		http://www.aauthum.com/financial-info.html
6	Criteria of making payments to non-executive directors	NA		
7	Policy on dealing with related party transactions	Yes		http://www.aauthum.com/financial-info.html
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		http://www.aauthum.com/financial-info.html
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		http://www.aauthum.com/financial-info.html
11	email address for grievance redressal and other relevant details	Yes		http://www.aauthum.com/financial-info.html
12	Financial results	Yes		http://www.aauthum.com/financial-info.html
13	Shareholding pattern	Yes		http://www.aauthum.com/financial-info.html
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	Yes		http://www.aauthum.com/index.html
17	Advertisements as per regulation 47 (1)	Yes		http://www.aauthum.com/financial-info.html
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		http://www.aauthum.com/financial-info.html
21	Materiality Policy as per Regulation 30	Yes		http://www.aauthum.com/financial-info.html
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		http://www.aauthum.com/financial-info.html
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

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Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Amit Dangi
2	Designation	Chief Financial Officer

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II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	NA	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided			Add Notes	

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1	Name of signatory	Amit Dangi
2	Designation	Chief Financial Officer

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Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

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Annexure II

1	Name of signatory	AMIT DANGI
2	Designation	Chief Financial Officer