

**AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED**

CIN: L51109MH1982PLC319008

Reg Add: 707, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai - 400021.

Email: authum.investment@gmail.com, Website: www.authum.com

Ph. No.: (022) 61782000

**POSTAL BALLOT FORM**

(Please read the instructions printed overleaf carefully before completing this form)

Serial No. :

1. Name and Registered Address of the sole / first named Shareholder :
2. Name(s) of Joint-Holder(s), if any (in block letters) :
3. Registered Folio No/DP ID No./Client ID No.\* :  
(\*applicable to shareholders holding shares in Dematerialised Form)
4. Number of Equity Shares held :

I/We hereby exercise my/our vote in respect of the Resolutions (Ordinary/Special) to be passed through postal ballot for the business stated in the Notice of Postal Ballot dated 14<sup>th</sup> November, 2019, issued by the Company by sending my/our assent / dissent to the said Resolutions by placing the tick mark (√) in the appropriate column below:

Item No.	Description	No. of Shares	I/We assent to the resolution (FOR)	I/We dissent to the resolution (AGAINST)
1.	<b>Ordinary Resolution</b> for Increase in Authorized Share Capital of the Company			
2.	<b>Ordinary Resolution</b> for Alteration of Capital Clause in the Memorandum of Association of the Company			
3.	<b>Special Resolution</b> to authorize the Company to borrow monies up to INR 2,000 Crores (Indian Rupees Two Thousand Crores)			
4.	<b>Special Resolution</b> for Approval for creation of charges, mortgages, hypothecation on the immovable and movable properties of the Company under Section 180(1)(a) of the Companies Act, 2013			
5.	<b>Ordinary Resolution</b> for Approval for Material Related Party Transaction(s)			
6.	<b>Special Resolution</b> for Issue of 7% Non-Convertible Non-Cumulative Redeemable Preference Shares			

7.	<b>Special Resolution</b> for raising of Funds through issue of Unsecured Compulsorily Convertible Debentures			
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Place :

Date :

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(Name of the Shareholder)

**Electronic Voting Particulars**

<b>EVSN (E- Voting Serial Number)</b>	<b>USER ID</b>	<b>PASSWORD</b>

**Notes:**

1. Please read the instructions printed overleaf carefully before exercising the vote.
2. The last date for receipt of Postal Ballot Forms by the Scrutinizer is Saturday, 21<sup>st</sup> December, 2019 on or before 5.00 p.m. IST.

**The e-voting facility will be available during the following voting period:**

<b>Commencement of e-voting</b>	<b>End of e-voting</b>
<b>22<sup>nd</sup> November, 2019 at 9.00 a.m.</b>	<b>21<sup>st</sup> December, 2019 at 5.00 p.m.</b>

## INSTRUCTIONS

1. A Member desiring to exercise vote by Postal Ballot may complete this Postal Ballot Form and send it to the Scrutinizer i.e. Mr. Mayank Arora at Mayank Arora & Co., Company Secretaries Office no. 268, 2nd Floor, Udyog Bhavan, Sonawala Road, Goregaon (East), Mumbai - 400 063 in the attached self-addressed business reply envelope. Postage will be borne and paid by the Company. However, envelopes containing Postal Ballot Form(s), if deposited in person or sent by courier or registered / speed post at the expense of the shareholder will also be accepted. The self-addressed envelope bears the address of the Scrutinizer.
2. Alternatively, a shareholder may vote through electronic mode as per the instructions for voting through electronic means provided in the Postal Ballot Notice sent herewith.
3. This Form should be completed and signed by the Shareholder (as per the specimen signature registered with the Company). In case of joint holding, this Form should be completed and signed by the first named Shareholder and in his/her absence, by the next named Shareholder.
4. Duly completed Postal Ballot Form should reach the Scrutinizer on or before 05.00 p.m. on 21<sup>st</sup> December, 2019. All Postal Ballot Forms received after this date will be strictly treated as if reply from such Shareholder(s) has not been received.
5. The votes must be cast either in favour or against by putting the tick [✓] mark in the column provided for **assent** or **dissent**.
6. The votes of a shareholder will be considered invalid on any of the following grounds:
  - a. A form other than one issued by the Company has been used;
  - b. Unsigned Postal Ballot Forms will be rejected;
  - c. if the shareholder's signature does not tally with the specimen signatures with the Company;
  - d. Postal Ballot Form bearing [✓] in both the columns provided for marking assent or dissent will render the form invalid;
  - e. If the Postal Ballot Form is received torn or defaced or mutilated such that it is difficult for the Scrutinizer to identify either the shareholder, or the number of votes, or as to whether the votes are for 'assent' or 'dissent';
  - f. If the shareholder has made any amendment to the Resolution or imposed any condition while exercising his vote;
  - g. It is received from a shareholder who is in arrears of payment of calls;
  - h. If the envelope containing the postal ballot form is received after the last date prescribed;
  - i. If any competent authority has given directions in writing to the company to freeze the voting rights of the shareholder;
  - j. If the postal ballot form, signed in a representative capacity, is not accompanied by a certified copy of the relevant specific authority.
7. There will be only one Postal Ballot Form for every folio irrespective of the number of Joint Shareholder(s).
8. In case of shares held by companies, trusts, societies etc, the duly completed Postal Ballot Form should be accompanied by a Certified True Copy of Board Resolution /Authority Letter.
9. Shareholders are requested not to send any other paper along with the Postal Ballot Form in the enclosed self-addressed business reply envelope, as all such envelopes will be sent to the Scrutinizer and any extraneous paper found in such envelope would be destroyed by the Scrutinizer and the Company would not be able to act on the same.
10. A Shareholder need not use all the votes or cast all the votes in the same way.
11. The Scrutinizer's decision on the validity of a Postal Ballot will be final and binding.
12. Kindly note that the shareholders can opt for only one mode of voting, i.e. either by physical Postal Ballot or e-voting. If you are opting for e-voting, then do not vote by physical Postal Ballot also and vice versa, however, in case shareholders cast their vote by both physical Postal Ballot and e-voting, then voting done through e-voting shall prevail and voting done by postal ballot will be treated as invalid.

*Please note instructions for e-voting has been mentioned in pages ahead which shall be followed by Members while voting.*