

**Format of holding of specified securities**

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/12/2023

b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 30/12/2023

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913
(B)	Public	8145	43254187	0	0	43254187	25.4668	43254187	0	43254187	25.4668	0	25.4668	0	0.0000	NA		43254187
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	8147	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

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Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/12/2023

(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
											Class	x	y			Total	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
(a)	Indian Individuals/Hindu undivided				1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(b)	ALPANA SANJAY DANGI Central Government/State Government(s)	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(c)	Financial Institutions/Banks														0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate				1	15681853	0	0	15681853	9.2330	15681853	0	15681853	9.2330	0	9.2330	8451840	53.8957	0	0.0000	15681853
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	15681853	0	0	15681853	9.2330	15681853	0	15681853	9.2330	0	9.2330	8451840	53.8957	0	0.0000	15681853
	Sub Total				2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000	0
(b)	Government														0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions														0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913

AUTHUM INVESTMENT & INFRASTRUCTURE LTD																						
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/12/2023																						
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
									Class x	Class y	Total			Total as a % of Total Voting rights	No. shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
(1)	Institutions (Domestic)																					
(a)	Mutual Funds																					
(b)	Venture Capital Funds																					
(c)	Alternate Investment Funds																					
(d)	Banks																					
(e)	Insurance Companies																					
(f)	Provident Funds / Pension Funds																					
(g)	Asset Reconstruction Companies																					
(h)	Sovereign Wealth Funds																					
(i)	NBFCs Registered with RBI																					
(j)	Other Financial Institutions																					
(k)	Any Other (specify)																					
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0
(2)	Institutions (Foreign)																					
(a)	Foreign Direct Investment																					
(b)	Foreign Venture Capital Investors																					
(c)	Sovereign Wealth Funds																					
(d)	Foreign Portfolio Investors Category I		17	4176257	0	0	4176257	2.4589	4176257	0	4176257	2.4589	0	2.4589	0	0.0000	NA		4176257	0	0	0
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		2592850	0	0	0
(e)	Foreign Portfolio Investors Category II		4	8033698	0	0	8033698	4.7300	8033698	0	8033698	4.7300	0	4.7300	0	0.0000	NA		8033698	0	0	0
	NEXPACT LIMITED	AAFNC0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA		8009190	0	0	0

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/12/2023

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)				
								Class	Total	Total as a % of Total Voting rights			No. of total shares held (a)	As a % of total shares held (Not applicable) (b)	Sub-Category (i)	Sub-Category (ii)		Sub-Category (iii)				
																			Shareholding (No of shares) under			
(f) Overseas Depositories (holding DRs) (balancing figure)																						
(g) Any Other (specify)																						
Sub Total		21	12209955	0	0	12209955	7.1889	12209955	0	12209955	7.1889	0	7.1889	0	0.0000	NA		12209955	0	0	0	
(3) Central Government / State Government(s)																						
(a) Central Government / President of India																						
(b) State Government / Governor																						
(c) Shareholding by Companies or Bodies Corporate where Central/State Governemnt is a promoter																						
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0	
(4) Non-Institutions																						
(a) Associate Companies / Subsidiaries																						
(b) Directors and their relatives (excluding independent directors and nominee directors)																						
(c) Key Managerial Personnel																						
(d) Relatives of Promoters (other than immediate relatives of promoters diclosed under Promoter and Promoter Group category)																						
(e) Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																						
(f) Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.1033	0	0.0000	NA		175500	0	0	0	

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								Class	Type	Total			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)		
																					Shareholding (No of shares) under	
(g) Resident Individuals holding nominal share capital upto Rs.2 lakhs		7875	2403934	0	0	2403934	1.4154	2403934	0	2403934	1.4154	0	1.4154	0	0.0000	NA		2403934	0	0	0	
(h) Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		6	8410070	0	0	8410070	4.9516	8410070	0	8410070	4.9516	0	4.9516	0	0.0000	NA		8410070	0	0	0	
PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA		2700000	0	0	0	
NAMITA KETAN KOTHARI	AACTK3210A	1	1970720	0	0	1970720	1.1603	1970720	0	1970720	1.1603	0	1.1603	0	0.0000	NA		1970720	0	0	0	
(i) Non Resident Indians (NRIs)		66	19813	0	0	19813	0.0117	19813	0	19813	0.0117	0	0.0117	0	0.0000	NA		19813	0	0	0	
(j) Foreign National																						
(k) Foreign Company / OCB																						
(l) Bodies Corporate		60	19663842	0	0	19663842	11.5775	19663842	0	19663842	11.5775	0	11.5775	0	0.0000	NA		19663842	0	0	0	
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA		9799000	0	0	0	
SETU SECURITIES PRIVATE LIMITED	AAGCS3919K	4	5433790	0	0	5433790	3.1993	5433790	0	5433790	3.1993	0	3.1993	0	0.0000	NA		5433790	0	0	0	
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	2252648	0	0	2252648	1.3263	2252648	0	2252648	1.3263	0	1.3263	0	0.0000	NA		2252648	0	0	0	
(m) Any Other (specify)																						
Resident Individual (HUF)		109	150615	0	0	150615	0.0887	150615	0	150615	0.0887	0	0.0887	0	0.0000	NA		150615	0	0	0	
Clearing Member		7	220458	0	0	220458	0.1298	220458	0	220458	0.1298	0	0.1298	0	0.0000	NA		220458	0	0	0	
Sub Total		8124	31044232	0	0	31044232	18.2780	31044232	0	31044232	18.2780	0	18.2780	0	0.0000	NA		31044232	0	0	0	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8145	43254187	0	0	43254187	25.4668	43254187	0	43254187	25.4668	0	25.4668	0	0.0000	NA		43254187	0	0	0	
Details of the shareholders acting as persons in Concert																						
Sl No	Name	PAN	Shares																			

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/12/2023

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)		
								No of Voting Rights		Total as a % of Total Voting rights			As a % of total shares held (a)	No. (Not applicable) (a)	As a % of total shares held (b)	Sub-Category (i)		Sub-Category (ii)	Sub-Category (iii)	
								Class x	Class y											Total
NIL																				

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/12/2023

Category & Name of the Shareholders (I)	PAN (II)	No of share holder s (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculate d as per SCRR. 1957) As a % of (A+B+C2 ) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total				No. shares held (a)	As a % of total shares held (b)	No. shares held (a)	As a % of total shares held (b)		
																			As a % of total shares held (b)
(1) Custodian / DR Holder																			
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																			
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/12/2023												
Details of the (SBO)			Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)			(II)				Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	110909060	65.3001				
	Total						110909060	65.3001				

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Table VI - Statement showing foreign ownership limits as on 30/12/2023

	Board approved limits	Limits utilized
As on shareholding date	100.0000	7.2005
As on 30/09/2023	100.0000	7.1484
As on 30/06/2023	100.0000	7.1433
As on 31/03/2023	100.0000	7.0117
As on 31/12/2022	100.0000	7.0164