

24/05/2022

To,

**Department of Corporate Relationship
BSE Ltd.**
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001.
Scrip Code: 539177

The Calcutta Stock Exchange Limited
7, Lyons Range, Murgighata, Dalhousie,
Kolkata, West Bengal - 700 001.
Scrip Code: 011262

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2022

Dear Sirs / Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read alongwith SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019, please find enclosed a copy of Annual Secretarial Compliance Report for the Financial Year 2021-22 issued by our Secretarial Auditor, M/s. Mayank Arora & Co, Practicing Company Secretaries.

We request you to take the Annual Secretarial Compliance Report on record and acknowledge the receipt of the same.

Yours faithfully

For **Authum Investment & Infrastructure Limited**



Amit Dangi
Whole Time Director & CFO
DIN: 06527044



Encl: As above.

MAYANK ARORA & Co.

COMPANY SECRETARIES

Secretarial Compliance Report of Authum Investment & Infrastructure Limited For the Financial Year ended March 31, 2022

I, Mayank Arora, Practicing Company Secretary, proprietor of M/s. Mayank Arora & Co., Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **Authum Investment & Infrastructure Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - **not applicable to the Company during the period under review**;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- **not applicable to the Company during the period under review**;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- **not applicable to the Company during the period under review**;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013- **not applicable to the Company during the period under review**;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 and Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

And circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued hereinunder: -
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) Action taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder : - NIL
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: NIL
- (e) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period:- NIL
- (f) Further I report that BSE has sought clarification from the Company on 23rd March, 2022 on the price movement of the Company's securities. In this regard, the Company has given justified explanation vide its letter dated 28th March, 2022.

Date: 24/05/2022

Place: Mumbai

UDIN No. : F010378D000376381

**For Mayank Arora & Co.
Company Secretaries**

MAYANK ARORA
Digitally signed by MAYANK ARORA
DN: c=IN, ou=Personal, postalCode=400002,
o=MAYANK ARORA,
serialNumber=845176a8a6339ef31c388a6
0393270b2863c077a873214a38649449dc
4dc, cn=MAYANK ARORA
Date: 2022.05.24 15:11:14 +05'30'

**Mayank Arora
Proprietor
C.P. No. 13609
PR No. 679/2020**