Format of holding of specified securities

- 1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008
- 2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2023
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

^{*} If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 30/09/2023

l able	- Summary S	statement	holding of s	pecified s	ecurities as	on 30/09/20:	23											
Categ	Category of shareholder	Nos. of shareho lders	No. of fully paid up equity shares	No.of Partly paid-up equity shares	No. of shares underlying Depository Receipts	Total nos.shares held (VII)=(IV)+	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	cla	ass of s Voting	g Rights held securities (IX Rights Total	d in each	Underlying outstanding convertible securities (including Warrants)	as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)		As a % of total shares	pledo othe encumbe	of shares ged or rwise ered (XIII) As a % of total shares held (b)	Number of equity shares held in dematerialize d form
								10050010	_	10050010		\ /						
(A)	Promoter & Promoter group	2	126590913	0	0	126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0	0.0000	126590913
(B)	Public	6236	43254187	0	0	43254187	25.4668	43254187	0	43254187	25.4668	0	25.4668	0	0.0000	NA		43254187
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	6238	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

ALITLI	UM INVESTMENT & INFRASTRUC	TUDE LTD		1			1						I						
	II - Statement Showing shareholdin		the Promoter an	d Promot	er Group as o	n 30/09/20	23												
Table	ii - Otatement Onowing shareholdin	g pattern or	ille i Tolllotei ali	u i ioiiiot	ei Gioup as o	11 30/03/20	20												
												g Rights held					sł	umber of nares	
												ecurities (IX)	1			Number of		edged or	
										No of	Voting	Rights	_			in shares	(XII) of	herwise	
	Category & Name of the	Entity type i.e. promoter OR promoter group entity (except		shareho lders	paid up equity shares held		Nos. of shares underlying Depository Receipts	Total nos.shares held (VII)=(IV)+	Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2)		Class		Total as a % of Total Voting	outstanding convertible securities (as a percentage of diluted share	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital (XI)=(VII)+(X As a % of	f S		As a % of total o. shares	Number of equity shares held in dematerialized form
(4)	Shareholders (I)	promoter)	PAN (II)	(III)	(IV)	held (V)	(VI)	(V)+(VI)	(VIII)	Class x	У	Total	rights	capital) (X)	A+B+C2)	No. (a)	held (b) (a) held (b)	(XIV)
(1) (a)	Indian Individuals/Hindu undivided			1	1 110909060	0) (110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0 0.0000	110909060
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C	1	1 110909060	0		110909060	65 3001	110909060	0	110909060	65 3001	0	65.3001	0	0.0000	0 0.0000	110909060
	Central Government/State	1 Torrioter	AI NI D2003C	'	1 110909000		,	110909000	03.3001	110909000	- 0	110909000	03.3001		03.3001	0	0.0000	0.0000	110909000
(b)	Government(s)													0	0.0000	0	0.0000	0.0000	0
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0.0000	0
(d)	Any Other (specify)													C	0.0000	0	0.0000	0.0000	0
	Bodies Corporate			1	1 15681853	0	C	15681853	9.2330	15681853	0	15681853	9.2330	C	9.2330	8451840	53.8957	0.0000	15681853
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G	1	1 15681853	0) (15681853	9 2330	15681853	0	15681853	9.2330	C	9 2330	8451840	53 8957	0.0000	15681853
	Sub Total	Tromotor	74.001 73300		126590913			126590913		126590913		126590913				8451840		0 0.0000	
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													C	0.0000	0	0.0000	0 0.000	0
(b)	Government													C	0.0000	0	0.0000	0 0.000	0 0
(c)	Institutions													C	0.0000	0	0.0000	0 0.000	0
(d)	Foreign Portfolio Investor													C	0.0000	0	0.0000	0.0000	0 0
(e)	Any Other (specify)													C	0.0000	0	0.0000	0.0000	0 0
	Sub Total			С	0	0) (0	0.0000	0	0	0	0.0000	C	0.0000	0	0.0000	0 0.000	0 0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2 126590913	0		126590913	74.5332	126590913	0	126590913	74.5332	0	74.5332	8451840	6.6765	0 0.0000	126590913

AUTH	IUM INVESTMENT & INFRASTRUC	CTURE LTD																				
	III - Statement Showing shareholdin		Public sh	areholder a	s on 30/	09/2023																
									cla	ass of s	g Rights he securities (l Rights			Total Shareholdin g as a % assuming full conversion of	Locke	mber of d in shares (XII)	sł plec oth encu	mber of nares dged or erwise imbered (XIII)			(XV)	of shares
	Category & Name of the Shareholders (I) Institutions (Domestic)		Nos. of sharehol	equity	paid-up equity shares	Depository Receipts	Total nos.shares / held (VII)=(IV)+ (V)+(VI)	a % of	Class x	Class y	Total	a % of Total	g convertible securities (including	convertible securities (as a percentage of diluted		As a % o total shares	No. f (Not applic able)	As a % of total shares held	Number of equity shares held in dematerialize d form		Sub-	Sub- y Category (iii)
	Mutual Funds																					
(b)	Venture Capital Funds																					
(c)	Alternate Investment Funds																					
(d)	Banks																					
(e)	Insurance Companies																					
(f)	Provident Funds / Pension Funds																					
(g)	Asset Reconstruction Companies																					
(h)	Sovereign Wealth Funds																					
(i)	NBFCs Registered with RBI																					
(j)	Other Financial Institutions																					
(k)	Any Other (specify)																					
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0 0
	Institutions (Foreign) Foreign Direct Investment																					
(b)	Foreign Venture Capital Investors																					
(c)	Sovereign Wealth Funds																					
(d)	Foreign Portfolio Investors Category I		3	4109310	0	0 0	4109310	2.4194	4109310	0	4109310	2.4194	0	2.4194	0	0.0000	NA		4109310	0	0	0
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		2592850	0	O	0
	Foreign Portfolio Investors Category II		2	8016834	0	0 0	8016834	4.7201	8016834	0	8016834	4.7201	0	4.7201	0	0.0000	NA		8016834	0	C	0
	NEXPACT LIMITED	AAFCN0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA		8009190	0	C	0
	Overseas Depositories (holding DRs) (balancing figure)																					
(g)	Any Other (specify)																					

AUTH	IUM INVESTMENT & INFRASTRUC	TURE LTD																				
	III - Statement Showing shareholding		Public sh	areholder a	as on 30/	09/2023																
									cla	ass of s	g Rights he securities (I Rights		_	Total Shareholdin g as a % assuming full conversion of	Locked	mber of d in shares (XII)	pled oth encu	mber of nares dged or erwise imbered (XIII)			(XV)	n of shares of shares)
	Category & Name of the Shareholders (I)	s	Nos. of sharehol	equity	paid-up equity shares	Depository Receipts	(VII)=(IV)+	a % of (A+B+C2)		Class y	Total	Total as a % of Total Voting rights	g convertible securities (including	percentage of diluted		As a % o total shares	No. f (Not applic able)	As a % of total shares	Number of equity shares held in dematerialize d form		Sub-	Sub- y Category (iii)
	Sub Total		5	12126144	. 0		12126144	7 1395	12126144	0	12126144	7.1395	i C	7.1395	0	0.0000	NA		12126144	0		0 (
	Central Government / State		- 3	.2120144			, LILOTTT	7.1000	120 144			7.1000		7.1000		0.0000	1474		12120144		<u> </u>	
	Government(s)																					
	Central Government / President of India																					
(b)	State Government / Governor																					
	Shareholding by Companies or Bodies Corporate where Central/State Governemnt is a promoter																					
	Sub Total		0	0	0		0 0	0.0000	0	0	0	0.0000) (0.0000	0	0.0000	NA		0	0		0 (
	Non-Institutions						, ,	0.0000	0			0.0000		0.0000		0.0000	14/1					
	Associate Companies / Subsidiaries																					
	Directors and their relatives (excluding independent directors and nominee directors)																					
(c)	Key Managerial Personel																					
	Relatives of Promoters (other than immediate relatives of promoters diclosed under Promoter and Promoter Group category)																					
	Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																					
	Investor Education and Protection Fund Authority		1	175500	0	(175500	0.1033	175500	0	175500	0.1033	. C	0.1033	0	0.0000	NA		175500	0		0 (
	Resident Individuals holding nominal share capital upto Rs.2 lakhs		6052	2273432	. 0	(2273432	1.3385	2273432	0	2273432	1.3385	i (1.3385	0	0.0000	NA		2273432	0		0 (
	Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		7	8641260	0		8641260	5.0877	8641260	0	8641260	5.0877		5.0877	0	0.0000	NA		8641260	0		0 (

ΔIITL	HUM INVESTMENT & INFRASTRU	CTURE LTD																	T			—
	III - Statement Showing sharehold		Public sh	areholder a	es on 30/	00/2023																
Table	III - Statement Showing sharehold	ing pattern or the	Fublic Si	iaieiioiuei a	as 011 30/	09/2023																
									cla	ass of s	g Rights he securities (Rights	eld in each IX)		Total Shareholdin g as a % assuming full conversion		mber of	sl pled oth	mber of hares dged or nerwise umbered			egorization of sh (XV) olding (No of sha	
						Nos. of		Sharehol ding % calculate d as per				_	g	percentage of diluted		(XII)			Number of		under	
			Nos. of	No. of fully paid up equity		shares underlying Depository	Total nos.shares held	SCRR. 1957) As a % of				Total as a % of Total	convertible securities (including	share capital) (XI)=(VII)+(X		As a % of total	١,	shares held c (Not	equity shares held in dematerialize	Sub-	Sub- Sub-)-
	Category & Name of the Shareholders (I)	PAN (II)	sharehol		shares held (V)	Receipts	(VII)=(IV)+ (V)+(VI)		Class x	Class y	Total	Voting rights) As a % of A+B+C2)	No. (a)	shares	able)	applica	d form		Category Cate	
	,		` ′											,		` ,	Ù	, , ,				
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E		2700000		_				_	2700000		0			0.0000	NA		2700000		-	0
	NAMITA KETAN KOTHARI	AACTK3210A	1	1970720	0) (1970720	1.1603	1970720	0	1970720	1.1603	0	1.1603	0	0.0000	NA		1970720	(0	0
(i)	Non Resident Indians (NRIs)		36	15067	0) (15067	0.0089	15067	0	15067	0.0089	C	0.0089	0	0.0000	NA		15067	(0	0
(j)	Foreign National																					
(k)	Foreign Company / OCB																					
(I)	Bodies Corporate		53	19699652	2 0) (19699652	11.5986	19699652	0	19699652	11.5986	0	11.5986	0	0.0000	NA		19699652	(0	0
	COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0) (9799000	5.7694	9799000	0	9799000	5.7694	O	5.7694	0	0.0000	NA		9799000	(0	0
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	2261440	0) (2261440	1.3315	2261440	0	2261440	1.3315	C	1.3315	0	0.0000	NA		2261440	(0	0
	SETU SECURITIES PRIVATE LIMITED	AAGCS3919K	3	5428790	0) (5428790	3.1963	5428790	0	5428790	3.1963	0	3.1963	0	0.0000	NA		5428790	(0	0
(m)	Any Other (specify)																					
	Resident Individual (HUF)		77	165239) 0) (165239	0.0973	165239	0	165239	0.0973	0	0.0973	0	0.0000	NA		165239	(0	0
	Clearing Member		5	157893	3 0) (157893	0.0930	157893	0	157893	0.0930	0	0.0930	0	0.0000	NA		157893	(0	0
	Sub Total		6231	31128043	3 0) (31128043	18.3273	31128043	0	31128043	18.3273	0	18.3273	0	0.0000	NA		31128043	(0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6236	43254187	, 0) (43254187	25.4668	43254187	0	43254187	25.4668	0	25.4668	0	0.0000	NA		43254187	(0	0

AUTH	IUM INVESTMENT & INFRASTRUC	TURE L	.TD															
Table	IV - Statement Showing shareholding	ng patter	n of the N	on Promoter	- Non Pu	blic shareh	older as on 3	30/09/2023										
									in ead				Total Shareholding	Lock	aber of ked in es (XII)	sha pledo othe encu	ber of ares ged or rwise mbere XIII)	
	Category & Name of the		No of sharehol	No. of fully paid up equity shares	paid-up equity	Nos. of shares underlying Depository Receipts		a % of			Total as a % of Total Voting	No of shares Underlying outstandin g convertible securities (including Warrants)	securities (as a percentage		As a % of total shares	No. (Not appli cabl	(Not	Number of equity shares held in dematerialized form
	Shareholders (I)	PAN (II)	ders (III)	held (IV)	held (V)		(V)+(VI)		Class x	Class y	Total rights	(X)	A+B+C2)	No. (a)	held (b)	(a)	(b)	(XIV)
(1)	Custodian / DR Holder																	
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																	
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	C	0 0	0.0000	0	0	0 0.0000	0	0.0000	0	0.0000	NA NA	NA	0

AUTH	UM INVESTMENT	& INFRASTRUCT	TURE LTD									
Annex	ure											
		Table V - Statem	ent showing	details of significa	nt beneficial own	ers as on 30	/09/2023					
												Date of creation / acquition of
							Details	of holding	exercise of right	of the SBC) in the	significant
	Deta	ils of the (SBO)		Details of	the registered ow	ner	repo	orting comp	oany, whether dire	ect or indire	ect:	beneficial
		(I)			(II)			Whe	ether by virtue of:	(III)		(IV)
									Rights on distributable		Exercise	
		PAN / Passport			PAN / Passport				dividend or any		of	
		No. in case of a			No. in case of a			_	other distribution		_	
Sr No	Name	foreign national	Nationality	Name	foreign national	Nationality	Shares (%)	rights (%)	(%)	of control	influence	
1	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	110909060	65.3001				
	Total						110909060	65.3001				

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table VI - Statement showing foreign ownership limits as on 30/09/2023

	Board	
	approved	Limits
	limits	utilized
As on shareholding date	100.0000	7.1484
As on 30/06/2023	100.0000	
As on 31/03/2023	100.0000	
As on 31/12/2022	100.0000	7.0164
As on 30/09/2022	100.0000	6.7499