

**Date:** January 15, 2016

To,  
The Bombay Stock Exchange Ltd.  
Phiroza jeejeebhoy Towers  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai 400 001  
**Script code:** 539177

**Sub: Submission of Quarterly compliance report on corporate governance under Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are enclosing herewith Quarterly compliance report on corporate governance under regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 31.12.2015.

In this context, request you to please update the same with your records.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
for Authum Investment and Infrastructure Limited

  
**Bishal Agarwal**  
Company Secretary & Compliance Officer

To,  
Listing & Compliance Department  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata- 700001  
**Scrip Code:** 11262

# AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED

7, MANGO LANE, 2<sup>ND</sup> FLOOR, ROOM NO. 212, KOLKATA- 700001, CONTACT NO.: 033-40068296

Email ID: authum.investment@gmail.com WEB ID: www.authum.com

CIN No.L51109WB1982PLC035088

## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: Authum Investment & Infrastructure Limited

2. Quarter ending: December 31, 2015

#### I. Composition of Board of Directors

Titl e (Mr / Ms)	Name of the Director	PAN\$ & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Date of Appointment in the current term /cessation	Te nu re *	No of Directors hip in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr .	Navin Kumar Jain	Pan No.:ACIPJ7109F DIN No.: 00465888	Executive	16.07.2007	N A.	1 (One)	3(Thre e)	Nil
Mr	Tapan Sodani	PAN No.: AKOPS7369A DINNo.: 01921743	Independent	14.03.2011	4 yr.	Nil	3(Thre e)	Nil
Mr s.	Barkha Agarwal	PAN No.: AKWPA8777A DINNo.:05190461	Independent	22.06.2015	7 mon th s	Nil	3(Thre e)	Nil



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\$PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen  
\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)s
1. Audit Committee	Navin Kumar Jain Tapan Sodani Barkha Agarwal	Executive Non Executive/Independent Executive/Independent
2. Nomination & Remuneration Committee	Navin Kumar Jain Tapan Sodani Barkha Agarwal	Executive Non Executive/Independent Executive/Independent
3. Risk Management Committee(if applicable)	N.A.	N.A.
4. Stakeholders Relationship Committee'	Navin Kumar Jain Tapan Sodani Barkha Agarwal	Executive Non Executive/Independent Executive/Independent



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&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
August 11, 2015	November 09, 2015	89

### IV. Meeting of Committees (Audit Committee)

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
November 09, 2015	Yes, all the members were present	August 11, 2015	89

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

#### Note

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.  
 2 If status is "No" details of non-compliance may be given here.



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### VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
  - d. Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Authum Investment & Infrastructure Ltd.

  
Bishal Agarwal  
Company Secretary

#### Note:

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.